# Radicals 

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## 1. Definitions

## Finiteness conditions

Let $R$ be a ring with identity and let $M$ be an $R$-module.
The module $M$ is noetherian if it satisfies ACC on submodules.
The module $M$ is artinian if it satisfies DCC on submodules.
The module $M$ is finitely generated if there is a finite subset $\left\{m_{1}, \ldots, m_{k}\right\}$ of $M$ such that $M=\operatorname{span}-\left\{m_{1}, \ldots, m_{k}\right\}$, the submodule generated by $\left\{m_{1}, \ldots, m_{k}\right\}$.
A composition series of $M$ is a chain of submodules $0=M_{0} \subseteq M_{1} \subseteq \cdots \subseteq M_{n}=M$ such that $M_{i} / M_{i+1}$ is simple.

Proposition 1.1. Let $N$ be a submodule of $M$.
(a) $M$ is noetherian if and only if $N$ and $M / N$ are noetherian.
(b) $M$ is artinian if and only if $N$ and $M / N$ are artinian.
(c) $M$ has a finite composition series if and only if $N$ and $M / N$ have finite composition series.
(d) If $M$ is finitely generated then $M / N$ is finitely generated.

Proof.

## Proposition 1.2.

(a) $M$ is noetherian and artinian if and only if $M$ has a finite composition series.
(b) $M$ is noetherian if and only if every submodule of $M$ is finitely generated.
(c) If $R$ is noetherian and $M$ is finitely generated then $M$ is noetherian.

[^0]Proof. (a) follows from Theorem ???, below.
$(\mathrm{b}) \Leftarrow$ : Assume that every submodule of $M$ is finitely generated. Let $N_{1} \subseteq N_{2} \subseteq \cdots$ be an ascending chain. Then $\bigcup N_{i}$ is a finitely generated submodule of $M$ Let $x_{1}, \ldots, x_{k}$ be generators and let $\ell_{1}, \ldots, \ell_{k}$ be such that $x_{i} \in N_{\ell_{i}}$. Then $x_{1}, \ldots, x_{k} \in N_{r}$ where $r=\max \left\{\ell_{1}, \ldots, \ell_{k}\right\}$. So $\bigcup N_{i}=N_{r}$ and $N_{r}=N_{r+1}=N_{\ell}$ for all $\ell>r$. So $M$ is noetherian.
(b) $\Rightarrow$ : Assume that $M$ is noetherian and let $N$ be a submodule of $M$. Then

$$
\{P \subseteq N \mid P \text { is finitely generated }\}
$$

has a maximal element $P_{\max }$. If $P_{\max } \neq N$ let $x \in N \backslash P_{\max }$. Then $P \subseteq\left\langle P_{\max }, x\right\rangle \subseteq N$ and $\left\langle P_{\max }, x\right\rangle$ is finitely generated, which is a contradiction to the maximality of $P_{\max }$. So $P_{\max }=N$. So every submodule of $M$ is finitely generated.

Theorem 1.3. Let $M$ be an $R$-module.
(a) Any two series

$$
0 \subseteq M_{1} \subseteq M_{2} \subseteq \cdots \subseteq M_{r}=M \quad \text { and } \quad 0 \subseteq M_{1}^{\prime} \subseteq M_{2}^{\prime} \subseteq \cdots \subseteq M_{s}^{\prime}=M
$$

can be refined to have the same length and the same composition factors.
(b) $M$ has a finite composition series if and only if $M$ any series can be refined to a composition series.
(c) If $M$ has a finite composition series then any two composition series for $M$ have the same length.

Proof. In the series ( ${ }^{*}$ ) change $M_{i} \subseteq M_{i+1}$ to

$$
M_{i}=\left(M_{0}^{\prime}+M_{i}\right) \cap M_{i+1} \subseteq\left(M_{1}^{\prime}+M_{i}\right) \cap M_{i+1} \subseteq \cdots \subseteq\left(M_{s}^{\prime}+M_{i}\right) \cap M_{i+1}=M_{i+1}
$$

and change $M_{j}^{\prime} \subseteq M_{j+1}^{\prime}$ to

$$
M_{j}=\left(M_{0}+M_{j}^{\prime}\right) \cap M_{j+1}^{\prime} \subseteq\left(M_{1}+M_{j}^{\prime}\right) \cap M_{j+1}^{\prime} \subseteq \cdots \subseteq\left(M_{r}+M_{j}^{\prime}\right) \cap M_{j+1}^{\prime}=M_{j+1}^{\prime}
$$

Claim:

$$
\frac{\left(M_{j}^{\prime}+M_{i-1}\right) \cap M_{i}}{\left(M_{j-1}^{\prime}+M_{i-1}\right) \cap M_{i}} \cong \frac{\left(M_{i}+M_{j-1}^{\prime}\right) \cap M_{j}^{\prime}}{\left(M_{i-1}+M_{j-1}^{\prime}\right) \cap M_{j}^{\prime}} .
$$

Lemma 1.4. (Modular law) If $A, B, C$ are submodules of $M$ and $B \subseteq C$ then

$$
C+(A \cap B)=(C+A) \cap B .
$$

Proof. If $k+b \in C+(A \cap B)$ then $k+b \in(C+A) \cap B$. So $C+(A \cap B) \subseteq(C+A) \cap B$.
If $b=k+a \in(C+A) \cap B$ then $b=k+a=k+(b-k) \in C+(A \cap B)$ and so $(C+A) \cap B \subseteq$ $C+(A \cap B)$.

Lemma 1.5. Zassenhaus isomorphism If $V \subseteq U$ and $V^{\prime} \subseteq U^{\prime}$ are submodules of $M$ then

$$
\frac{\left(U+V^{\prime}\right) \cap U^{\prime}}{\left(V+V^{\prime}\right) \cap U^{\prime}} \cong \frac{U \cap U^{\prime}}{\left(U \cap V^{\prime}\right)+\left(U^{\prime} \cap V\right)} \cong \frac{\left(U^{\prime}+V\right) \cap U}{\left(V^{\prime}+V\right) \cap U}
$$

Proof.

## Examples.

(1) Let $\mathbb{F}$ be a field. A finite dimensional vector space is both noetherian and artinian. An infinite dimensional vector space $V$ has $\operatorname{Rad}(V)=0, \operatorname{soc}(V)$ and is neither noetherian or artinian.
(2) Let $R=\mathbb{Z}$. Then every submodule of ${ }_{R} R$ is generated by one element. The ring $\mathbb{Z}$ is noetherian but not artinian: $\mathbb{Z} \supseteq p \mathbb{Z} \supseteq p^{2} \mathbb{Z} \supseteq \cdots$.

$$
\operatorname{Rad}(\mathbb{Z})=\bigcap_{L_{\max }} L_{\max }=\bigcap_{p \text { prime }} p \mathbb{Z}=0
$$

## Radicals and socles

If $m \in M, \operatorname{ann}(m)=\{r \in R \mid r m=0\}$.
The annihilator of $M$ is $\operatorname{ann}(M)=\{r \in R \mid r M=0\}$.
The radical of $M$ is

$$
\operatorname{Rad}(M)=\bigcap_{P_{\max }} P_{\max }, \quad \text { the intersection of the maximal proper submodules of } M
$$

The socle of $M$ is

$$
\operatorname{soc}(M)=\sum_{P_{\min }} P_{\min }, \quad \text { the sum of the simple submodules of } M
$$

The head of $M$ is $M / \operatorname{Rad}(M)$.
The socle series of $M$ is

$$
0=\operatorname{soc}^{0}(M) \subseteq \operatorname{soc}^{1}(M) \subseteq \cdots
$$

where $\operatorname{soc}^{1}(M)=M$ and $\operatorname{soc}^{i}(M)$ is determined by

$$
\frac{\operatorname{soc}^{i}(M)}{\operatorname{soc}^{i-1}(M)}=\operatorname{soc}\left(\frac{M}{\operatorname{soc}^{i-1}(M)}\right)
$$

The radical series of $M$ is

$$
0=\operatorname{Rad}^{0}(M) \supseteq \operatorname{Rad}^{1}(M) \supseteq \cdots \quad \text { where } \quad \operatorname{Rad}^{i}(M)=\operatorname{Rad}\left(\operatorname{Rad}^{i-1}(M)\right)
$$

The socle length of $M$ is the smallest positive integer $n$ such that $\operatorname{soc}^{n}(M)=M$ and $\operatorname{soc}^{n-1}(M) \neq$ M.

The radical length of $M$ is the smallest positive integer $n$ such that $\operatorname{Rad}^{n}(M)=0$ and $\operatorname{Rad}^{n-1}(M) \neq$ 0.

The socle layers of $M$ are $\operatorname{soc}^{k}(M) / \operatorname{soc}^{k-1}(M)$.
The radical layers of $M$ are $\operatorname{Rad}^{k-1}(M) / \operatorname{Rad}^{k}(M)$.
Proposition 1.6. If $M$ has socle length $n$ them $M$ has radical length $n$ and

$$
\operatorname{soc}^{j}(M) \supseteq \operatorname{Rad}^{n-j}(M), \quad 0 \leq j \leq n .
$$

Proof.

Proposition 1.7. Let $R$ be a ring.
(a) $\operatorname{Rad}(R)=\bigcap_{L_{\max }} L_{\max }$, the intersection of the maximal left ideals of $R$.
(b) $\operatorname{Rad}(R)=\bigcap_{I_{\text {prim }}} I_{\text {prim }}$, the intersection of the primitive two-sided ideals of $R$.
(c) $\operatorname{Rad}(R)=\{x \in R \mid 1-a x b$ is invertible for all $a, b \in R\}$.
(d) $\operatorname{Rad}(R)$ contains all nilpotent ideals.

Proof. (a) This is a restatement of the definition of $\operatorname{Rad}(R)$, since the submodules of ${ }_{R} R$ are the left ideals of $R$.
(b) If $M$ is a simple $R$-module and $m \in M$ then $\operatorname{ann}(m)=\{r \in R \mid r m=0\}$ is a maximal left ideal of $R$ because $R / \operatorname{ann}(m) \cong M$. The primitive ideal

$$
\operatorname{ann}(M)=\{r \in R \mid r M=0\}=\bigcap_{m \in M} \operatorname{ann}(m) .
$$

(c) Let $s \in \operatorname{Rad}(R)$. Then $R(1-x)=R$ since $1-x$ is not in any maximal left ideal. So $t(1-x)=1$ for some $t \in R$. So $1-t=-t x \in \operatorname{Rad}(R)$. So $1-(1-t)=t$ has a left inverse, which must be $1-x$. So $1-x$ is invertible in $R$. By (b) $\operatorname{Rad}(R)$ is an indeal and so $1-a x b$ is invertible for every $a, b \in R$. So $\operatorname{Rad}(R)=\{x \in R \mid 1-a x b$ is invertible for all $a, b \in R\}$

Assume $1-a x b$ is invertible for all $a, b \in R$. Let $L_{\max }$ be a maximal left ideal not containing $x$. Then $1=a x+\ell$ for some $a \in R, p \in L_{\max }$. So $1-a x \in L_{\max }$. So $L_{\max }=R$ which is a contradiction. So $x$ is an element of every maximal left ideal. So $\{x \in R \mid 1-a x b$ is invertible for all $a, b \in R\} \subseteq$ $\operatorname{Rad}(R)$.
(d) Let $N$ be a nilpotent ideal with $N^{k}=0$. If $x \in N$ then $x^{k} \in N^{k}=0$ and so $x^{k}=0$. Then $\left(1+x+x^{2}+\cdots+x^{k-1}\right)(1-x)=1$ and so $1-x$ is invertible. Thus, since $N$ is an ideal, $1-a x b$ is invertible for every $a, b \in R$. Thus, by (c), $N \subseteq \operatorname{Rad}(R)$.

The proof of (bb) and (bc) of the following theorem uses:
Lemma 1.8. (Nakayama's lemma) If $M$ is a finitely generated $R$-module and $\operatorname{Rad}(R) M=M$ them $M=0$.

Proof. Assume $M \neq 0$. Let $m_{1}, \ldots, m_{k}$ be a minimal generating set for $M$. Since $\operatorname{Rad}(R) M=M$,

$$
m_{k}=\sum_{i=1}^{k} a_{i} m_{i}, \quad \text { with } a_{i} \in \operatorname{Rad}(R) .
$$

So $\left(1-a_{k}\right) m_{k}=\sum_{i=1}^{k-1} a_{i} m_{i}$. But $1-a_{k}$ has a left inverse in $R$. So $m_{k}=\sum_{i=1}^{k-1}\left(1-a_{k}\right)^{-1} a_{i} m_{i}$, which contradicts the minimality. So $M=0$.

Theorem 1.9. Let $R$ be an artinian ring. Then
(a) $\operatorname{Rad}(R)$ is the largest nilpotent ideal of $R$.
(b) If $M$ is a finitely generated $R$-module then
(ba) $M$ is noetherian and artinian,
(bb) $\operatorname{Rad}(M)=\operatorname{Rad}(R) M$,
(bc) $\operatorname{soc}(M)=\{m \in M \mid \operatorname{Rad}(R) m=0\}$.
(c) $R$ is noetherian.

Proof. (a) Let $n$ be such that $\operatorname{Rad}(R)^{n}=\operatorname{Rad}(R)^{2 n}$. If $\operatorname{Rad}(R)^{n} \neq 0$ then there is a minimal left ideal with $\operatorname{Rad}(R)^{n} I \neq 0\left(\right.$ since $\left.\operatorname{Rad}(R)^{n} \operatorname{Rad}(R)^{n} \neq 0\right)$. Let $x \in I, x \neq 0$, be such that $\operatorname{Rad}(R)^{n} x \neq 0$. By minimality, $I=\operatorname{Rad}(R)^{n} x=\operatorname{Rad}(R)^{n} \operatorname{Rad}(R)^{n} x$. So $x=a x$, with $a \in \operatorname{Rad}(R)$. So $(1-a) x=0$. Since $1-a$ is invertible in $R, x=0$. But this is a contradiction. $\operatorname{So} \operatorname{Rad}(R)^{n}=0$. So $\operatorname{Rad}(R)$ is a nilpotent ideal.
(ba) Let $M_{i}=\operatorname{Rad}(R)^{i} M$. Then, since $M$ is finitely generated and $R$ is artinian, there is a surjective homomorphism

$$
R \oplus \cdots \oplus R \longrightarrow M
$$

Thus $M$ is artinian. So $M_{i} / M_{i+1}$ is artinian and $\operatorname{Rad}(R)$ acts by 0 . So $M_{i} / M_{i+1}$ is a $R / \operatorname{Rad}(R)-$ module and thus $M_{i} / M_{i+1}$ is a finite direct sum of simple submodules. So, by (a), $M$ has a composition series and is both noetherian and artinian.
(bb) By Nakayama's lemma, $\operatorname{Rad}(R)\left(M / N_{\max }\right)=0$ for every maximal proper submodule $N_{\max } \subseteq$ $M$. So $\operatorname{Rad}(R) M \subseteq N_{\max }$ for every $N_{\max }$. So $\operatorname{Rad}(R) M \subseteq \operatorname{Rad}(M)$.

Since $M / M_{1}$ is a finite direct sum of simple modules, $\operatorname{Rad}\left(M / M_{1}\right)=0$. So $\operatorname{Rad}(M) \subseteq M_{1}=$ $\operatorname{Rad}(R) M$.
(bc) The set

$$
N=\{m \in M \mid \operatorname{Rad}(R) m=0\}
$$

is a submodule of $M$ and $\operatorname{Rad}(R) N=0$. Since $N$ is artinian, $N$ is a finite direct sum of simple submodules. So $\operatorname{soc}(M) \supseteq N$.

Nakayama's lemma implies that if $S$ is a simple module, then $\operatorname{Rad}(R) S=0$. So $\operatorname{Rad}(R) \operatorname{soc}(M)=$ 0 . $\operatorname{So} \operatorname{soc}(M) \subseteq N$.
(c) follows from (ba).

Semisimplicity
Proposition 1.10. Let $M$ be an $R$-module. Then $M$ has a simple submodule.
Proof.

Proposition 1.11. (Schur's lemma)
(a) If $R^{\lambda}$ and $R^{\mu}$ are simple $R$-modules then

$$
\operatorname{Hom}_{R}\left(R^{\lambda}, R^{\mu}\right)=0, \quad \text { if } R^{\lambda} \not \not 二 R^{\mu}, \quad \text { and } \quad \operatorname{End}_{R}\left(R^{\lambda}\right)=\mathbb{D}_{\lambda} \quad \text { is a division ring. }
$$

(b) If $M=\bigoplus_{\lambda \in \hat{A}}\left(R^{\lambda}\right)^{\oplus m_{\lambda}}$ is a finite direct sum of simple modules then

$$
\operatorname{End}_{R}(M)=\bigoplus_{\lambda \in \hat{A}} M_{m_{\lambda}}\left(\mathbb{D}_{\lambda}\right)
$$

where $\mathbb{D}_{\lambda}=\operatorname{End}_{R}\left(R^{\lambda}\right)$ are division rings.
Proof. (a) Let $\phi: R^{\lambda} \rightarrow R^{\mu}$ be a homomorphism. Then, since $R^{\lambda}$ and $R^{\mu}$ are simple, ker $\phi$ is either 0 or $R^{\lambda}$, and $\operatorname{im} \phi$ is either 0 or $R^{\mu}$. So $\phi$ is either 0 or an isomorphism.
(b) If $M=\bigoplus_{\lambda \in \hat{A}} \bigoplus_{i=1}^{m_{\lambda}} R^{\lambda, i}$, with $R^{\lambda, i} \cong R^{\lambda}$, for $1 \leq i \leq m_{\lambda}$, then

$$
\operatorname{End}_{R}(M)=\bigoplus_{\lambda \in \hat{A}} \bigoplus_{i, j=1}^{m_{\lambda}} \operatorname{End}_{R}\left(R^{\lambda, i}, R^{\lambda, j}\right)=\bigoplus_{\lambda \in \hat{A}} M_{m_{\lambda}}\left(\mathbb{D}_{\lambda}\right)
$$

Proposition 1.12. Let $M$ be an $R$-module.
(a) $\operatorname{soc}(M)=M$ if and only if for every submodule $N \subseteq M$ there is a submodule $N^{\prime} \subseteq M$ with $M=N \oplus N^{\prime}$.
(b) Let $N$ be a submodule of $M$. If $\operatorname{soc}(M)=M$ then $\operatorname{soc}(N)=N$ and $\operatorname{soc}(M / N)=M / N$.

Proof. (a) $\Leftarrow$ : If $\operatorname{soc}(M) \neq M$ then $M=\operatorname{soc}(M) \oplus N^{\prime}$. Let $N$ be a simple submodule of $N^{\prime}$ (the existence of $N$ is nontrivial and uses Zorn's lemma, see Theorem ???). Then $\operatorname{soc}(M)+N \neq \operatorname{soc}(M)$, but this is a contradiction to the definition of $\operatorname{soc}(M)$.
(a) $\Rightarrow$ : Let $N$ be a submodule of $M$ and let $N^{\prime}=\sum_{P \cap N=0} P$ be the sum of the simple submodules $P$ of $M$ such that $P \cap N=0$. Then $N \cap N^{\prime}=0$ since, for a simple submodule $P$ of $M, P \cap N=P$ or $P \cap N=0$. Since $N+N^{\prime} \supseteq \operatorname{soc}(M)=M, N+N^{\prime}=M$. So $M=N \oplus N^{\prime}$.

Proposition 1.13. The following are equivalent:
(a) $M$ is a finite direct sum of simple submodules.
(b) $M$ is artinian and $\operatorname{soc}(M)=M$.
(c) $M$ is noetherian and $\operatorname{soc}(M)=M$.
(d) $M$ has a finite composition series and $\operatorname{soc}(M)=M$.
(e) $M$ is finitely generated and $\operatorname{soc}(M)=M$.
(f) $M$ is artinian and $\operatorname{Rad}(M)=0$.

Proof. The implications (a) $\Leftrightarrow(\mathrm{b}),(\mathrm{a}) \Leftrightarrow(\mathrm{c}),(\mathrm{a}) \Leftrightarrow(\mathrm{d})$ follow directly from Proposition ??? and Proposition ???a.
(a) $\Rightarrow$ (f) follows directly from the definitions.
$(\mathrm{f}) \Rightarrow(\mathrm{a})$ : Let $N_{i}$ be a finite (by DCC) number of maximal proper submodules such that

$$
\operatorname{Rad}(M)=\bigcap N_{i}=0
$$

Then

$$
\begin{aligned}
\phi: & M
\end{aligned} \longrightarrow \quad M / N_{1} \oplus \cdots \oplus M_{/} N_{k}\left(\begin{array}{c} 
\\
m
\end{array} \quad \longmapsto \quad\left(m+N_{1}, \cdots, m+N_{k}\right)\right.
$$

has $\operatorname{ker} \phi=0$. So $M \cong \operatorname{im}(M)$ which is a submodule of the semisimple module $M / N_{1} \oplus \cdots M / N_{k}$. So $M \cong \operatorname{im}(M)$ is finite length and $\operatorname{soc}(M)=M$. So $M$ is a direct sum of simple submodules.
$(\mathrm{c}) \Rightarrow(\mathrm{e})$ since $M$ is noetherian implies that $M$ is finitely generated.
(e) $\Rightarrow(\mathrm{c})$ : Let $N$ be a submodule of $M$ and let $N^{\prime}$ be a complement. Then $N \cong M / N^{\prime}$ and thus, since $M$ is finitely generated, $N$ is finitely generated. Thus every submodule of $M$ is finitely generated. So $M$ is noetherian.

Theorem 1.14. (Artin-Wedderburn) The following are equivalent:
(a) $R$ is artinian and $\operatorname{Rad}(R)=0$,
(b) ${ }_{R} R$ is a finite direct sum of simple modules
(c) $R \cong \bigoplus_{\lambda \in \hat{A}} M_{d_{\lambda}}\left(\mathbb{D}_{\lambda}\right)$, where $\hat{A}$ is a finite index set, $d_{\lambda}$ are positive integers, and $\mathbb{D}_{\lambda}$ are division rings.

Proof. (a) $\Leftrightarrow(\mathrm{b})$ is a consquence of Proposition ???.
$(\mathrm{a}) \Leftarrow(\mathrm{c})$ is a consquence of the fact that the simple $M_{d_{\lambda}}\left(\mathbb{D}_{\lambda}\right)$ module is $\mathbb{D}_{\lambda}^{d_{\lambda}}$ the vector space of column vectors of length $d_{\lambda}$.
(a) $\Rightarrow$ (c): The map

$$
\begin{array}{ccc}
R^{\mathrm{op}} & \longrightarrow & \operatorname{End}_{R}\left({ }_{R} R\right) \\
r & \longmapsto & \phi_{r}
\end{array} \quad \text { where } \quad \phi_{r}(x)=x r, \quad \text { for } x \in R
$$

is a ring isomorphism. Thus, by Schur's lemma,

$$
R^{\mathrm{op}} \cong \operatorname{End}_{R}\left({ }_{R} R\right) \cong \bigoplus_{\lambda \in \hat{A}} M_{d_{\lambda}}\left(\mathbb{D}_{\lambda}\right), \quad \text { and thus } \quad R \cong\left(\bigoplus_{\lambda \in \hat{A}} M_{d_{\lambda}}\left(\mathbb{D}_{\lambda}\right)\right)^{\mathrm{op}} \cong \bigoplus_{\lambda \in \hat{A}} M_{d_{\lambda}}\left(\mathbb{D}_{\lambda}\right)
$$

Radicals and finiteness conditions for rings
Let $R$ be a ring.
The ring $R$ is a noetherian if ${ }_{R} R$ is noetherian.
The ring $R$ is a artinian if ${ }_{R} R$ is artinian.
A left ideal of $R$ is a submodule of ${ }_{R} R$.
An ideal $I$ of $R$ is primitive if $I=\operatorname{ann}(M)$ for a simple $R$-module $M$.

Simple and almost simple rings
The ring $R$ is primitive if 0 is a primitive ideal.
The ring $R$ is a semiprimitive if $\operatorname{Rad}(R)=0$.
The ring $R$ is simple if its only ideals are 0 and $R$.
The ring $R$ is prime if $A, B$ are ideals with $A B=0$ then $A=0$ or $B=0$.
An ideal $P$ is prime if $R / P$ is a prime ring.
The ring $R$ is semiprime if 0 is the only nilpotent ideal.
Proposition 1.15. Let $R$ be a ring and let $\operatorname{Spec}(R)$ be the set of prime ideals of $R$.
(a) $R$ is semiprime if and only if $\bigcap_{\mathfrak{p} \in \operatorname{Spec}(R)} \mathfrak{p}=0$.
(b) $R$ is primitive if and only if $R$ is a dense subring of $\operatorname{End}_{\mathbb{D}}(U)$ for some $\mathbb{D}$-vector space $U$.
(c) $R$ is artinian and semiprime if and only if $R$ is artinian and semiprimitive.
(d) $R$ is artinian and primitive if and only if $R$ is artinian and simple.
(e) $R$ is artinian and primitive if and only if $R \cong M_{n}(\mathbb{D})$, for some $n \in \mathbb{Z} \geq 1, \mathbb{D}$ a division ring.

Proof.

Burnside's theorem and Jacobson density
A subring $R$ of $\operatorname{End}_{\mathbb{D}}(U)$ is dense if for every $\alpha \in \operatorname{End}_{\mathbb{D}}(U)$ and every finitely generated $V \subseteq U$ there is an $r \in R$ with $\operatorname{Res}_{V}^{U}(r)=\operatorname{Res}_{V}^{U}(\alpha)$. Define a topology on $\operatorname{End}_{\mathbb{D}}(U)$ by making

$$
U(\alpha, V)=\left\{\beta \in \operatorname{End}_{\mathbb{D}}(U) \mid \operatorname{Res}_{V}^{U}(\beta)=\operatorname{Res}_{V}^{U}(\alpha)\right\} \quad \text { open }
$$

for each $\alpha \in \operatorname{End}_{\mathbb{D}}(U)$ and each finitely generated $V \subseteq U$. Then $R$ is dense in $\operatorname{End}_{\mathbb{D}}(U)$ if $\operatorname{End}_{\mathbb{D}}(U)$ is the closure of $R, \bar{R}=\operatorname{End}_{\mathbb{D}}(U)$.

Example. Consider an infinite dimensional vector space $U$ with basis $u_{1}, u_{2}, \ldots$. Then

$$
\begin{aligned}
\operatorname{End}_{\mathbb{C}}(U) & \cong M_{\infty}(\mathbb{C}) \\
& =\{\text { infinite matrices with a finite number of nonzero entries in each column }\} .
\end{aligned}
$$

Let

$$
\begin{aligned}
I & =\left\{\text { finite rank elements of } M_{\infty}(\mathbb{C})\right\} \\
& =\left\{\alpha \in \operatorname{End}_{\mathbb{C}}(U) \mid \operatorname{im} \alpha \text { is finite dimensional }\right\} .
\end{aligned}
$$

and let

$$
R=\{n \cdot 1+\ell \mid n \in \mathbb{Z}, \ell \in I\} .
$$

Then $R$ is a dense subring of $\operatorname{End}_{\mathbb{C}}(U)$,

$$
\mathbb{C}=\operatorname{End}_{R}(U) \quad \text { and } \quad R \neq \operatorname{End}_{\mathbb{C}}(U) .
$$

Theorem 1.16. Let $U$ be a simple $R$-module and let $\operatorname{Im}(R)$ be the image of $R$ in $\operatorname{End}(U)$. Let $\mathbb{D}=\operatorname{End}_{R}(U)$, a division ring.
(a) $\operatorname{Im}(R)$ is a dense subring of $\operatorname{End}_{\mathbb{D}}(U)$.
(b) If $R$ is artinian the $\operatorname{Im}(R)=\operatorname{End}_{\mathbb{D}}(U)$.

Proof. We will show that if $x_{1}, \ldots, x_{n} \in U$ and $\alpha \in \operatorname{End}_{\mathbb{D}}(U)$ then there is an $r \in R$ with $r x_{i}=\alpha x_{i}$ for $1 \leq i \leq n$. The proof is by induction on $n$ using the following lemma:

Lemma 1.17. Let $u \in U$. Then

$$
\operatorname{ann}\left(x_{1}, \ldots, x_{n}\right) u=0 \quad \Longleftrightarrow \quad u \in \mathbb{D}-\operatorname{span}\left\{x_{1}, \ldots, x_{n}\right\}
$$

Assume the lemma and assume that $x_{1}, \ldots, x_{n} \in U$ and $\alpha \in \operatorname{End}_{\mathbb{D}}(U)$ are given. By the induction assumption, there is $r^{\prime} \in R$ such that

$$
r^{\prime} x_{i}=\alpha x_{i}, \quad \text { for } 1 \leq i \leq n-1
$$

If $x_{n} \notin \mathbb{D}-\operatorname{span}\left\{x_{1}, \ldots, x_{n}\right\}$ then, by the lemma, $\operatorname{ann}\left(x_{1}, \ldots, x_{n-1}\right) x_{n} \neq 0$. Since ann $\left(x_{1}, \ldots, x_{n-1}\right) x_{n}$ is a nonzero $R$-submodule of $U$ and $U$ is simple $\operatorname{ann}\left(x_{1}, \ldots, x_{n-1}\right) x_{n}=U$. So

$$
\ell x_{n}=\left(\alpha-r^{\prime}\right) x_{n}, \quad \text { for some } \ell \in \operatorname{ann}\left(x_{1}, \ldots, x_{n-1}\right)
$$

Then

$$
\left(r^{\prime}+\ell\right) x_{i}=x_{i}, \quad \text { for } 1 \leq i \leq n-1, \quad \text { and } \quad\left(r^{\prime}+\ell\right) x_{n}=x_{n}
$$

(b) Let $R$ be artinian and let $U$ be a simple module. Let $I$ be a minimal element of

$$
\left\{\operatorname{ann}\left(x_{1}, \ldots, x_{k}\right) \mid x_{1}, \ldots, x_{k} \in U\right\}
$$

and let $x_{1}, \ldots, x_{k}$ be the finite subset of $U$ such that $I=\operatorname{ann}\left(x_{1}, \ldots, x_{k}\right)$. Let $u \in I$. If $\operatorname{ann}\left(x_{1}, \ldots, x_{k}\right) u \neq 0$ then $\operatorname{ann}\left(x_{1}, \ldots, x_{k}, u\right) \subseteq I$ and $\operatorname{ann}\left(x_{1}, \ldots, x_{k}, u\right) \neq I$, a contradiction to the minimality of $I$. So $\operatorname{ann}\left(x_{1}, \ldots, x_{n}\right) u=0$. So $u \in \mathbb{D}-\operatorname{span}\left\{x_{1}, \ldots, x_{n}\right\}$. So $U$ is finite dimensional. Now (c) follows from (b).

Proof of the lemma. $\Leftarrow$ : trivial.
$\Rightarrow$ : Assume $\operatorname{ann}\left(x_{1}, \ldots, x_{n}\right) u=0$. The proof is by induction on $n$.
Case 1. If $\operatorname{ann}\left(x_{1}, \ldots, x_{n-1}\right) x_{n}=0$ then $x_{n} \in \operatorname{span}\left\{x_{1}, \ldots, x_{n-1}\right\}$ and so $\operatorname{ann}\left(x_{1}, \ldots, x_{n-1}\right) u=$ 0 . So $u \in \operatorname{span}\left\{x_{1}, \ldots, x_{n-1}\right\}$.

Case 2. If $\operatorname{ann}\left(x_{1}, \ldots, x_{n-1}\right) x_{n} \neq 0$ then $\operatorname{ann}\left(x_{1}, \ldots, x_{n-1}\right) x_{n}=U$. Define an $R$-module homomorphism

$$
\begin{aligned}
\alpha: & \longrightarrow U \\
\ell x_{n} & \longmapsto \ell u, \quad \text { for } \ell \in \operatorname{ann}\left(x_{1}, \ldots, x_{n-1}\right) .
\end{aligned}
$$

If $\ell x_{n}=\kappa x_{n}$ then $\ell-\kappa \in \operatorname{ann}\left(x_{1}, \ldots, x_{n-1}\right) \cap \operatorname{ann}\left(x_{n}\right)=\operatorname{ann}\left(x_{1}, \ldots, x_{n}\right)$. So $(\ell-\kappa) u=0$ and $\ell u=\kappa u$ which shows that $\alpha$ is well defined. So $\alpha \in \mathbb{D}=\operatorname{End}_{R}(U)$.

Now $\operatorname{ann}\left(x_{1}, \ldots, x_{n-1}\right)\left(u-\alpha x_{n}\right)=0$ and so, by the induction hypothesis, $u-\alpha x_{n} \in \operatorname{span}\left\{x_{1}, \ldots, x_{n-1}\right\}$. So $u \in \operatorname{span}\left\{x_{1}, \ldots, x_{n}\right\}$.

## 3. Radicals of algebras

Let $A$ be an algebra over a field $\mathbb{F}$.
The radical of $A$ is the intersection of the maximal left ideals of $A$,

$$
\operatorname{Rad}(A)=\bigcap_{L_{\max }} L_{\max }
$$

Proposition 3.1. Assume $A$ satisfies the descending chain condition on left ideals. Then $A$ is completely reducible if and only if $\operatorname{Rad}(A)=0$.

## Proof.

A nilpotent ideal is an ideal $I$ such that $I^{k}=0$ for some $k \in \mathbb{Z}_{>0}$. A nilpotent element is an element $x \in A$ such that $x^{k}=0$ for some $k \in \mathbb{Z}_{>0}$.

If $\overrightarrow{t:} A \rightarrow \mathbb{C}$ is a trace on $A$ then

$$
\operatorname{Rad}(\vec{t})=\{a \in A \mid \vec{t}(a b)=0 \text { for all } b \in A\}
$$

## Proposition 3.2.

(e) $\operatorname{Rad}(A)=\operatorname{Rad}(\vec{t})$, if $\vec{t}$ is the trace of a faithful representation of $A$.

Proof.

## 6. References

[Bou1] N. Bourbaki, Algebra I, Chapters 1-3, Elements of Mathematics, Springer-Verlag, Berlin, 1990.
[Bou2] N. Bourbaki, Groupes et Algèbres de Lie, Chapitre IV, V, VI, Eléments de Mathématique, Hermann, Paris (1968).


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