



Examples in affine Combinatorial Representation Theory

Talk 3: ASEP and transfer matrices

Arun Ram
University of Melbourne

IISc Bengaluru
DMRT2020

12 December 2020

multispecies ASEP on a circle

Let $\lambda = (\lambda_1 \geq \dots \geq \lambda_N) \in \{1, \dots, n\}^N$

$$\mathcal{I}_\lambda = \mathbb{C}\text{-span}\{|\mu\rangle \mid \mu \in S_N \lambda\}$$

the span of symbols $|\mu\rangle$
indexed by rearrangements
 μ of λ .

Let $t \in \mathbb{R}_{[0,1]}$.

The Markov matrix $M^t: \mathcal{I}_\lambda \rightarrow \mathcal{I}_\lambda$

$$\begin{array}{c} \mu_1 \\ \mu_2 \quad \mu_N \\ \vdots \\ \mu_{N-1} \\ \vdots \end{array}$$

• Choose a position
(uniformly)

• If $\mu_i > \mu_{i+1}$ then switch.

• If $\mu_i < \mu_{i+1}$ then flip a coin
(probability of heads t).

If heads then switch.

Example $\lambda = (2, 1, 0)$

2 1 2 1 0 0
1 0 2 0 0 1 0 2 2 1 1 2

2 1 0	\otimes	$\frac{1}{n}$	$\frac{1}{n}$	0	0	$\frac{1}{n}$
1 2 0	$\frac{1}{n}$	\otimes	0	$\frac{1}{n}$	$\frac{1}{n}$	0
2 0 1	$\frac{1}{n}$	0	\otimes	$\frac{1}{n}$	$\frac{1}{n}$	0
1 0 2	0	$\frac{1}{n}$	$\frac{1}{n}$	\otimes	0	$\frac{1}{n}$
0 2 1	0	$\frac{1}{n}$	$\frac{1}{n}$	0	\otimes	$\frac{1}{n}$
0 1 2	$\frac{1}{n}$	0	0	$\frac{1}{n}$	$\frac{1}{n}$	\otimes

where \otimes is computed to make the sum of entries in a column equal to 1.

Note: $M^\lambda = \sum_{i=1}^N \frac{1}{n} \check{R}_{i,i+1}^{\vee}$ where

$$\check{R}_{i,i+1}^{\vee} = \begin{matrix} \mu_i \mu_{i+1} & \mu_{i+1} \mu_i \\ \mu_{i+1} \mu_i & \mu_i \mu_{i+1} \end{matrix} \begin{pmatrix} \otimes & t \\ 1 & \otimes \end{pmatrix}$$

with indices mod N .

The stationary distribution is

$$\pi \in \mathcal{I}_1 \text{ with } M\pi = \pi.$$

Let

$$E_\lambda(x_1, \dots, x_N; q, t)$$

be the nonsymmetric Macdonald polynomial.

Let $\mu \in S_N \setminus \lambda$ and let $z_\mu \in S_n$ be minimal length such that

$$z_\mu \lambda = \mu.$$

Let T_i be the operator coming from the DAHA action on polynomials and

$$T_{z_\mu} = T_{j_1} \cdots T_{j_k} \text{ if } z_\mu = s_{j_1} \cdots s_{j_k}$$

is a reduced word.

The permuted basement Macdonald polynomial

$$f_{\mu}(x_1, \dots, x_N; q, t) = t^{\frac{1}{2} \ell(\mu)} \sum_{\lambda \in S_N} E_{\lambda}$$

Note: The symmetric Macdonald polynomial is

$$P_{\lambda} = \sum_{\mu \in S_N} f_{\mu}$$

Theorem The stationary distribution of M^{λ} is

$$\pi = \sum_{\mu \in S_N} f_{\mu}(1, \dots, 1; 1, t) \langle \mu \rangle.$$

Theorem Let

$$\psi = \sum_{\mu \in S_N} f_{\mu}(x_1, \dots, x_N; q, t) \langle \mu \rangle$$

Then Ψ is an eigenvector of $T_N(x_1, \dots, x_N; q, t)$, the inhomogeneous transfer matrix with q -twisted boundary condition.

Proof idea:

$$(1) T_N(x_1, \dots, x_N; q, t)$$

$$= \bigoplus_{\lambda \text{ decreasing}} T_N^\lambda(x_1, \dots, x_N; q, t).$$

(2) Since

$$T_N(x_1, \dots, x_N; q, t) = R_{01}\left(\frac{x_1}{q}\right) R_{02}\left(\frac{x_2}{q}\right) \dots R_{0N}\left(\frac{x_N}{q}\right)$$

with

$$R_{0j}(z) = \begin{pmatrix} \frac{t-1}{t-z} & \frac{t(1-z)}{t-z} \\ \frac{1-z}{t-z} & \frac{(t-1)z}{t-z} \end{pmatrix}$$

then

$$T_N(x_1, \dots, x_N; q, t)$$

$$= C_0 + \left(\frac{x_1}{q} - 1\right) \check{R}_{12} + \left(\frac{x_2}{q} - 1\right) \check{R}_{23} + \dots + \left(\frac{x_N}{q} - 1\right) \check{R}_{N1}$$

+ higher degree terms in

$$\left(\frac{x_1}{q} - 1\right), \dots, \left(\frac{x_N}{q} - 1\right).$$

$$(3) T_N(z, z, \dots, z; t)$$

$$= C_0 + M^{\lambda}(z-1) + \text{higher degree terms in } z-1 //$$

Algebraic Bethe ansatz

(following Takhtadjan-Faddeev 1979).

Let $U = U_q$ be the quantum affine algebra.

Let V and A be level 0 integrable modules.

$\rho: U \rightarrow \text{End}(V)$ and $\pi: U \rightarrow \text{End}(A)$

and $N \in \mathbb{Z} > 0$.

Hamiltonian $H_N: V^{\otimes N} \rightarrow V^{\otimes N}$

$T_N(z) = C_0 + H_N(z-1) + \text{higher degree terms in } z-1.$

Partition function $Z_{M \times N}(z) \in \mathbb{C}.$

$$Z_{M \times N}(z) = \text{Tr}_{V^{\otimes N}} (T_N(z)^M)$$

Transfer matrix $T_N(z): V^{\otimes N} \rightarrow V^{\otimes N}$

$$T_N(z) = \text{Tr}_A (J_N(z)).$$

Monodromy matrix $J_N: A \otimes V^{\otimes N} \rightarrow A \otimes V^{\otimes N}$

$$J_N(z) = (\pi \otimes \rho^{\otimes N})(R(z))$$

L-matrix $L(z): A \otimes V \rightarrow A \otimes V$

$$L(z) = (\pi \otimes \rho)(R(z)).$$

R-matrix $R(z) \in U \otimes U.$

$$R(z) = (r_z \otimes \text{id})(R).$$

The initial data is

(U, R, r_z) a pseudo quasitriangular
Hopf algebra

universal
R-matrix

automorphisms of U
indexed by $z \in \mathbb{C}^*$.

Schur-Weyl duality for $U_t \widehat{sl}_n$

$$V = L(\omega_1) = \mathbb{C}^n [e, e^{-1}]$$

$$= \text{span} \{ e^{r_1} v_1, \dots, e^{r_n} v_n \mid r \in \mathbb{Z} \}$$

$$\text{Then } V^{\otimes N} = V(z_1) \otimes \dots \otimes V(z_N)$$

$$= \text{span} \left\{ z_1^{r_1} v_{i_1} \otimes \dots \otimes z_N^{r_N} v_{i_N} \mid \begin{array}{l} r_1, \dots, r_N \in \mathbb{Z} \\ i_1, \dots, i_N \in \{1, \dots, n\} \end{array} \right\}$$

$$= \text{span} \left\{ z_1^{r_1} \dots z_N^{r_N} v_{\mu_1} \otimes \dots \otimes v_{\mu_N} \mid \begin{array}{l} r_1, \dots, r_N \in \mathbb{Z} \\ \mu_1, \dots, \mu_N \in \{1, \dots, n\} \end{array} \right\}$$

$$= \text{span} \left\{ z_1^{r_1} \dots z_N^{r_N} |\mu\rangle \mid \begin{array}{l} r_1, \dots, r_N \in \mathbb{Z} \\ \mu_1, \dots, \mu_N \in \{1, \dots, n\} \end{array} \right\}$$

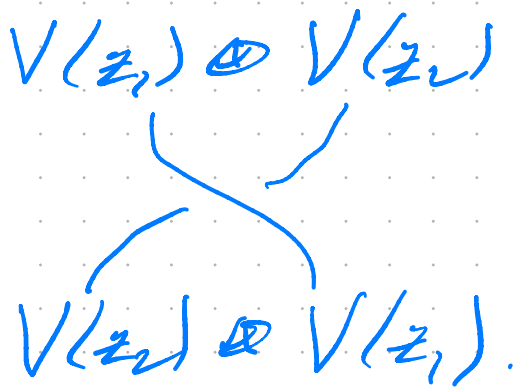
$$= \mathbb{C} [z_1^{\pm 1}, \dots, z_N^{\pm 1}] \otimes \left(\bigoplus_{\lambda \text{ decreasing}} \mathcal{I}_\lambda \right)$$

where $\mathcal{I}_\lambda = \text{span} \{ |\mu\rangle \mid \mu \in S_N \lambda \}$.

The R-matrix gives a U_t -module morphism

$$\check{R}: V \otimes V \rightarrow V \otimes V$$

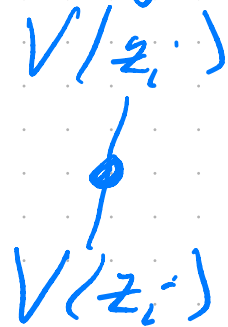
Pictorially



The z_i gives U_t -module morphisms

$$\begin{aligned}
 Y_i : V(z_i) \otimes V(z_i) \\
 z_i^{\vee} V_j \mapsto z_i^{\vee+1} V_j
 \end{aligned}$$

Pictorially



Together $R_1^{\vee}, \dots, R_N^{\vee}$ and Y_1, \dots, Y_N

$$\begin{array}{c}
 R_i = \\
 \begin{array}{c}
 V(z_1) \otimes \dots \otimes V(z_i) \otimes V(z_{i+1}) \otimes \dots \otimes V(z_N) \\
 \left. \begin{array}{c} | \dots | \end{array} \right\} \quad \left. \begin{array}{c} | \dots | \end{array} \right\} \\
 V(z_1) \otimes \dots \otimes V(z_{i+1}) \otimes V(z_i) \otimes \dots \otimes V(z_N)
 \end{array}
 \end{array}$$

$$\begin{array}{c}
 Y_i = \\
 \begin{array}{c}
 V(z_1) \otimes \dots \otimes V(z_i) \otimes \dots \otimes V(z_N) \\
 \left. \begin{array}{c} | \dots | \end{array} \right\} \quad \downarrow \bullet \quad \left. \begin{array}{c} | \dots | \end{array} \right\} \\
 V(z_1) \otimes \dots \otimes V(z_i) \otimes \dots \otimes V(z_N)
 \end{array}
 \end{array}$$

give an action of the affine Hecke algebra on $V \otimes N$.

This is what is used to make
a connection to Macdonald
polynomials.

The module $L(\omega, 1)$ for $U_t \widehat{sl}_n$

Basis: $v_i \in V, \dots, v_n \in V$ with $v \in \mathbb{Z}$

Let

$$v_{i+nr} = v_i \in V$$

so that v_k is defined for $k \in \mathbb{Z}$

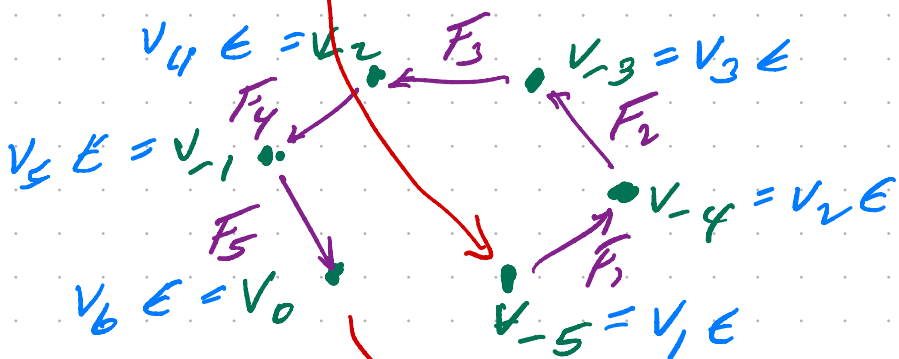
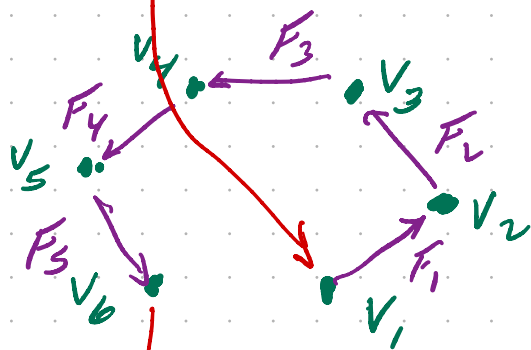
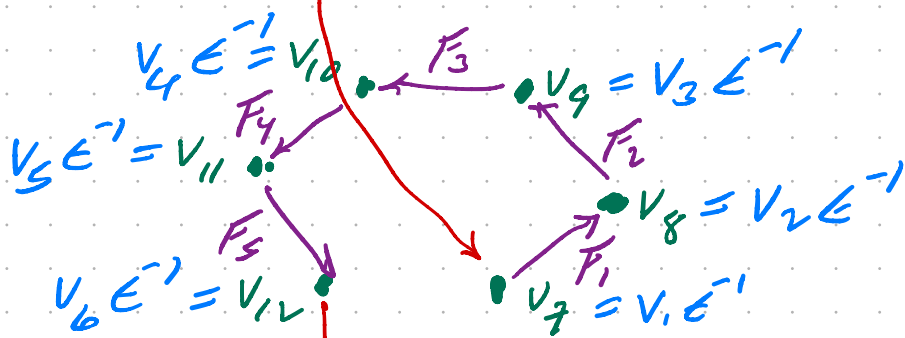
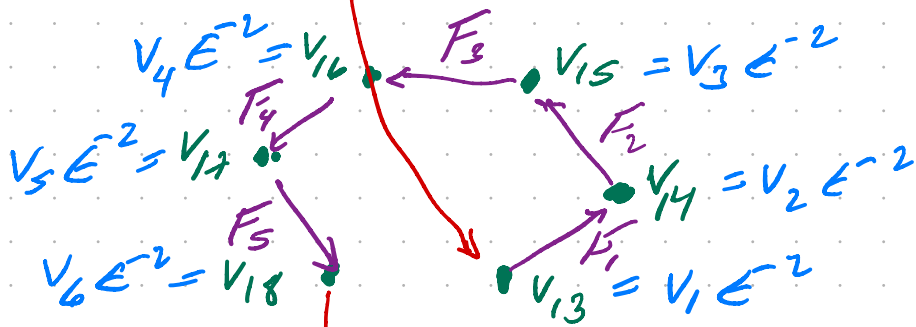
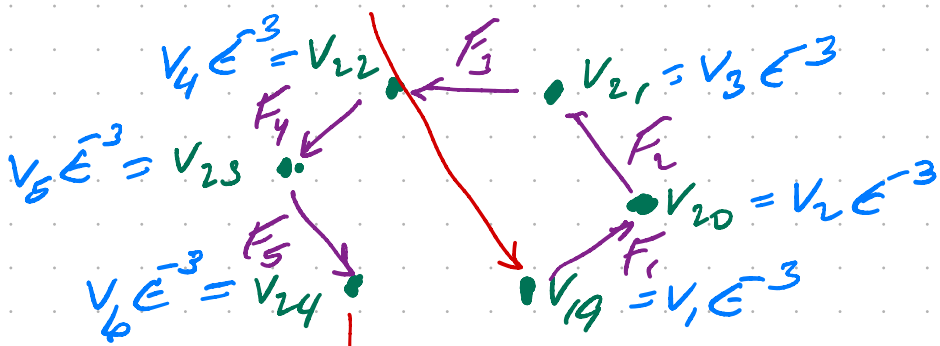
Kac-Moody action $k \in \mathbb{Z}, i \in \{1, \dots, n\}, r \in \mathbb{Z}$

$$C^{\pm \frac{1}{2}} v_k = v_k, \quad D^{\pm 1} (v_i \in V) = t^{\pm r} v_i \in V$$

$$E_i v_k = \begin{cases} v_{k-1}, & \text{if } k = i+1 \pmod{n}, \\ 0, & \text{otherwise,} \end{cases}$$

$$F_i v_k = \begin{cases} v_{k+1}, & \text{if } k = i \pmod{n}, \\ 0, & \text{otherwise} \end{cases}$$

$$K_i v_k = \begin{cases} t v_k, & \text{if } k = i \pmod{n} \\ t^{-1} v_k, & \text{if } k = i+1 \pmod{n} \\ v_k, & \text{otherwise} \end{cases}$$



The module $L(\omega, 1)$ for $U_t \widehat{sl}_n$

Basis: $v_1 \in \mathbb{C}^r, \dots, v_n \in \mathbb{C}^r$ with $r \in \mathbb{Z}$

Loop action $j, i \in \{1, \dots, n\}, r \in \mathbb{Z}, l \in \mathbb{Z}$

$$\mathbb{C}^{\pm \frac{1}{2}} v_i \in \mathbb{C}^r = v_i \in \mathbb{C}^r, \quad D^{\pm 1}(v_i \in \mathbb{C}^r) = t^{\pm r} v_i \in \mathbb{C}^r$$

$$x_{i, l}^+ v_j \in \mathbb{C}^r = \begin{cases} v_{j-1} \in \mathbb{C}^{l+r}, & \text{if } j = i+1, \\ 0, & \text{otherwise,} \end{cases}$$

$$x_{i, l}^- v_j \in \mathbb{C}^r = \begin{cases} v_{j+1} \in \mathbb{C}^{l+r}, & \text{if } j = i \\ 0, & \text{otherwise} \end{cases}$$

$$q_{i, s} v_j \in \mathbb{C}^r = \begin{cases} v_i \in \mathbb{C}^{r+s}, & \text{if } j = i \\ -v_{i+1} \in \mathbb{C}^{r+s}, & \text{if } j = i+1 \\ 0, & \text{otherwise.} \end{cases}$$

