

MATH 221 Lecture 20, October 25, 2000 ①


Optimization


Critical points are where maxima and minima might occur.

Example Find the local maxima and minima of  $f(x) = 2x^3 - 24x + 107$  in the interval  $[1, 3]$ .

The critical points are

(a) points where  $\frac{df}{dx}$  is 0, 

(b) points where  $f(x)$  is not continuous or not differentiable 

(c) points on the boundary of where  $f(x)$  is defined 

For  $f(x) = 2x^3 - 24x + 107$  in the interval  $[1, 3]$ ,  $x=1$  and  $x=3$  are critical points of type (c),

and

$\frac{df}{dx} = 6x^2 - 24$  and  $6x^2 - 24 = 0$  when  $x^2 = \frac{24}{6} = 4$

So  $x = \pm 2$  is when  $\frac{df}{dx}$  is 0.

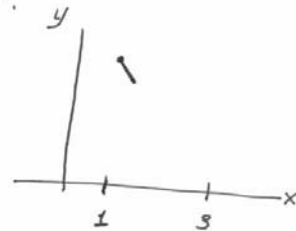
So  $x=2$  is a critical point in  $[1, 3]$ .

Critical point  $x=1$ : ②

$$\left. \frac{df}{dx} \right|_{x=1} = 6x^2 - 24 \Big|_{x=1} = 6 - 24 < 0.$$

So  $f(x)$  is decreasing at  $x=1$

So (from the picture)  $x=1$  is a maximum

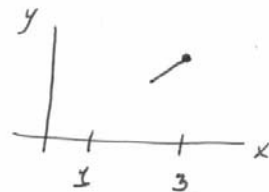


Critical point  $x=3$ :

$$\left. \frac{df}{dx} \right|_{x=3} = 6x^2 - 24 \Big|_{x=3} = 6 \cdot 3^2 - 24 = 30 > 0.$$

So  $f(x)$  is increasing at  $x=3$ .

So (from the picture)  $x=3$  is a minimum

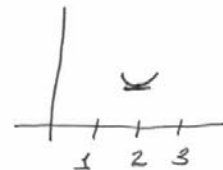


Critical point  $x=2$ :

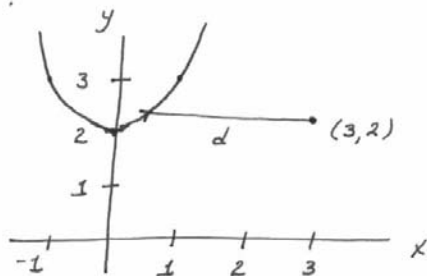
$$\left. \frac{df}{dx} \right|_{x=2} = 0. \quad \left. \frac{d^2f}{dx^2} \right|_{x=2} = 12x \Big|_{x=2} = 24 > 0.$$

So  $f(x)$  is flat and concave up at  $x=2$ .

So  $x=2$  is a minimum



Example An enemy jet is flying along the curve  $y = x^2 + 2$ . A soldier is placed at the point  $(3, 2)$ . At what point will the jet be at when the soldier and the jet will be the closest? (3)



If the jet is at the point  $(p, q)$  the distance between them is

$$d = \sqrt{(p-3)^2 + (q-2)^2}$$

The point  $(p, q)$  is on the curve  $y = x^2 + 2$  so  $q = p^2 + 2$ .

$$\text{So } d = \sqrt{(p-3)^2 + (p^2+2-2)^2}$$

We want to minimize  $d$  (as the jet moves, i.e. as  $p$  changes). The distance  $d$  will be minimum at the same time that  $d^2$  will be minimum.

So we can minimize  $d^2$ .

$$d^2 = (p-3)^2 + (p^2)^2 = (p-3)^2 + p^4. \quad (4)$$

Find a critical point. When is

$$\begin{aligned} \frac{d d^2}{d p} &= 2(p-3) + 4p^3 = 4p^3 + 2p - 6 \\ &= (p-1)(4p^2 + 4p + 6) \end{aligned}$$

equal to 0?? When  $p=1$ .

So  $\left. \frac{d d^2}{d p} \right|_{p=1} = 0$ , so  $p=1$  is a critical point.

From the picture we can confirm that when the jet is at  $(1, 3)$  (i.e.  $p=1, q=3$ ) the distance to the soldier is minimum.

Example Maximize the volume of a cone with a given slant height. Show that the angle of inclination is  $\tan^{-1} \sqrt{2}$ .



$l$  = slant height

$\theta$  = angle of inclination

$$\frac{r}{l} = \sin \theta \quad \frac{h}{l} = \cos \theta$$

Volume of a cone is

$$V = \frac{1}{3} \pi r^2 h = \frac{1}{3} \pi (l \sin \theta)^2 h = \frac{1}{3} \pi (l \sin \theta)^2 l \cos \theta$$

$l$  is fixed (given slant height).

(5)

We want to maximize  $V$  as  $\theta$  changes

$$\frac{dV}{d\theta} = \frac{d \frac{1}{3} \pi l^3 \sin^2 \theta \cos \theta}{d\theta}$$

$$= \frac{1}{3} \pi l^3 (2 \sin \theta \cos^2 \theta - \sin^2 \theta \sin \theta)$$

$$= \frac{1}{3} \pi l^3 \sin \theta (2 \cos^2 \theta - \sin^2 \theta)$$

A critical point is when  $\frac{dV}{d\theta}$  is zero or

when  $2 \cos^2 \theta - \sin^2 \theta = 0$  or  $\sin \theta = 0$ .

So  $2 = \tan^2 \theta$  or  $\theta = 0$ .

So  $\sqrt{2} = \tan \theta$  or  $\theta = 0$ .

So  $\theta = \tan^{-1} \sqrt{2}$  or  $\theta = 0$ .

When  $\theta = 0$  the cone looks like  $|$  which clearly does not have maximum volume.

So  $\theta = \tan^{-1} \sqrt{2}$  maximizes volume.