#### 11 Limits and Topologies

#### 11.1 **Spaces**

The point of this section is to introduce topological spaces and metric spaces and to explain how to make a metric space into a topological space.

#### **Topological spaces** 11.1.1

A topological space is a set X with a specification of the open subsets of X where it is required that

- (a)  $\emptyset$  is open in X and X is open in X,
- (b) Unions of open sets in X are open in X,
- (c) Finite intersections of open sets in X are open in X.

In other words, a *topology* on X is a set  $\mathcal{T}$  of subsets of X such that

- (a)  $\emptyset \in \mathcal{T}$  and  $X \in \mathcal{T}$ ,
- (b) If  $\mathcal{S} \subseteq \mathcal{T}$  then  $(\bigcup_{U \in \mathcal{S}} U) \in \mathcal{T}$ , (c) If  $\ell \in \mathbb{Z}_{>0}$  and  $U_1, U_2, \dots, U_\ell \in \mathcal{T}$  then  $U_1 \cap U_2 \cap \dots \cap U_\ell \in \mathcal{T}$ .

A topological space  $(X, \mathcal{T})$  is a set X with a topology  $\mathcal{T}$  on X. An open set in X is a set in  $\mathcal{T}$ .



The four possible topologies on  $X = \{0, 1\}$ .

In a topological space, perhaps even more important than the open sets are the neighborhoods. Let  $(X, \mathcal{T})$  be a topological space. Let  $x \in X$ . The neighborhood filter of x is

$$\mathcal{N}(x) = \{ N \subseteq X \mid \text{there exists } U \in \mathcal{T} \text{ such that } x \in U \text{ and } U \subseteq N \}.$$
(11.1)

A neighborhood of x is a set in  $\mathcal{N}(x)$ .



Neighborhoods of x.

## 11.1.2 Metric spaces

A strict metric space is a set X with a function  $d: X \times X \to \mathbb{R}_{>0}$  such that

- (a) (diagonal condition) If  $x \in X$  then d(x, x) = 0,
- (b) (diagonal condition) If  $x, y \in X$  and d(x, y) = 0 then x = y,
- (c) (symmetry condition) If  $x, y \in X$  then d(x, y) = d(y, x),
- (d) (the triangle inequality) If  $x, y, z \in X$  then  $d(x, y) \leq d(x, z) + d(z, y)$ .

Conditions (a) and (b) are equivalent to  $d^{-1}(0) = \Delta(X)$ , where the diagonal of X is  $\Delta(X) = \{(x, x) \mid x \in X\}$  and  $d^{-1}(0) = \{(x, y) \in X \times X \mid d(x, y) = 0\}.$ 



Distances between points in the metric space  $\mathbb{R}^2$ .

## 11.1.3 Making metric spaces into topological spaces

Let  $\mathbb{E} = \{10^{-k} \mid k \in \mathbb{Z}_{>0}\}$ . The set  $\mathbb{E}$  is the *accuracy set*. Specifying an element of  $\mathbb{E}$  specifies the desired number of decimal places of accuracy.

Let (X, d) be a strict metric space. Let  $x \in X$  and let  $\epsilon \in \mathbb{E}$ . The open ball of radius  $\epsilon$  at x is

$$B_{\epsilon}(x) = \{ y \in X \mid d(x, y) < \epsilon \}.$$

The neighborhood filter of an element  $x \in X$  is

 $\mathcal{N}(x) = \{ N \subseteq X \mid \text{there exists } \epsilon \in \mathbb{E} \text{ such that } B_{\epsilon}(x) \subseteq N \}.$ 

The metric space topology on X is

 $\mathcal{T} = \{ U \subseteq X \mid \text{if } x \in U \text{ then there exists } \epsilon \in \mathbb{E} \text{ such that } B_{\epsilon}(x) \subseteq U \}.$ 

The following characterization of the metric space topology is frequently used as the definition of the metric space topology.

**Proposition 11.1.** Let (X, d) be a strict metric space.

Let  $\mathbb{E} = \{10^{-k} \mid k \in \mathbb{Z}_{>0}\}$  and let  $\mathcal{B} = \{B_{\epsilon}(x) \mid \epsilon \in \mathbb{E} \text{ and } x \in X\}.$ 

Let  $\mathcal{T}$  be the metric space topology on X. Let  $U \subseteq X$ . Then  $U \in \mathcal{T}$  if and only if

there exists  $S \subseteq \mathcal{B}$  such that  $U = \bigcup_{B \in S} B$ .

*Proof.* (Sketch) If  $U = \bigcup_{B \in \mathcal{S}} B$  and  $x \in U$  then there exists  $B_{\delta}(y) \in \mathcal{S}$  with  $x \in B_{\delta}(y)$ . Letting  $\epsilon < \delta - d(x, y)$  then  $B_{\epsilon}(x) \subseteq U$ . So  $U \in \mathcal{T}$ .



Generators of the neighborhood filter of x = (2, 2) in the metric space  $\mathbb{R}^2$ .

## 11.2 Continuous functions, interiors and closures

## 11.2.1 Interiors and closures

Let  $(X, \mathcal{T})$  be a topological space. An open set in X is a subset U of X such that  $U \in \mathcal{T}$ . A closed set in X is a subset C of X such that the complement of C is an open set in X, i.e.

C is closed if  $X - C = \{x \in X \mid x \notin C\}$  is an open set in X.

Let  $(X, \mathcal{T})$  be a topological space and let  $A \subseteq X$ . The *interior* of A is the subset  $A^{\circ}$  of X such that

- (a)  $A^{\circ}$  is open in X and  $A^{\circ} \subseteq A$ ,
- (b) If U is open X and  $U \subseteq A$  then  $U \subseteq A^{\circ}$ .

The closure of A is the subset  $\overline{A}$  of X such that

- (a)  $\overline{A}$  is closed in X and  $\overline{A} \supseteq A$ ,
- (b) If C is closed in X and  $C \supseteq A$  then  $C \supseteq \overline{A}$ .

Let  $(X, \mathcal{T})$  be a topological space and let  $A \subseteq X$ . An *interior point of* A is a element  $x \in X$  such that

there exists  $N \in \mathcal{N}(x)$  such that  $N \subseteq A$ .

A close point to A is an element  $x \in X$  such that

if 
$$N \in \mathcal{N}(x)$$
 then  $N \cap A \neq \emptyset$ .

**Proposition 11.2.** Let  $(X, \mathcal{T})$  be a topological space and let  $A \subseteq X$ .

(a) The interior of A is the set of interior points of A.

(b) The closure of A is the set of close points of A.

*Proof.* (Sketch) For part (a): Let  $I = \{\text{interior points of } A\}$  and use the definitions to show that  $I \subseteq A^{\circ}$  and  $A^{\circ} \subseteq I$ . Part (b) is obtained from part(a) by carefully taking complements.



An interior point and a close point of  $B_1(x)$  where x = (2, 2) in  $\mathbb{R}^2$ .

# 11.2.2 Continuous functions

Continuous functions are for comparing topological spaces.

Let  $(X, \mathcal{T}_X)$  and  $(Y, \mathcal{T}_Y)$  be topological spaces. A continuous function from X to Y is a function  $f: X \to Y$  such that

if V is an open set of Y then  $f^{-1}(V)$  is an open set of X,

where  $f^{-1}(V) = \{x \in X \mid f(x) \in V\}$ . An isomorphism of topological spaces, or homeomorphism, is a continuous function  $f: X \to Y$  such that the inverse function  $f^{-1}: Y \to X$  exists and is continuous. Let X and Y be topological spaces and let  $a \in X$ . A function  $f: X \to Y$  is continuous at a if f satisfies the condition

if V is a neighborhood of f(a) in Y then  $f^{-1}(V)$  is a neighborhood of a in X, i.e. if  $V \in \mathcal{N}(f(a))$  then  $f^{-1}(V) \in \mathcal{N}(a)$ .



**Proposition 11.3.** Let  $(X, \mathcal{T}_X)$  and  $(Y, \mathcal{T}_Y)$  be topological spaces and let  $f: X \to Y$  be a function. Then f is continuous if and only if f satisfies

if 
$$a \in X$$
 then f is continuous at a.

*Proof.* (Sketch) This is a combination of the definitions of continuous, continuous at a, and the definition of  $\mathcal{N}(a)$  as in (11.1).

# 11.3 Limits in topological spaces

Let  $(X, \mathcal{T}_X)$  and  $(Y, \mathcal{T}_Y)$  be topological spaces. Let  $f: X \to Y$  be a function and let  $a \in X$  and  $y \in Y$ . Write

$$y = \lim_{x \to a} f(x)$$
 if f satisfies: if  $N \in \mathcal{N}(y)$  then  
there exists  $P \in \mathcal{N}(a)$  such that  $N \supseteq f(P)$ .

Assume  $a \in X$  such that  $a \in \overline{X - \{a\}}$  (in English: *a* is in the closure of the complement of  $\{a\}$  so that *a* is not an isolated point). Write

$$y = \lim_{\substack{x \to a \\ x \neq a}} f(x) \quad \text{if } f \text{ satisfies:} \quad \begin{array}{l} \text{if } N \in \mathcal{N}(y) \quad \text{then} \\ \text{there exists } P \in \mathcal{N}(a) \text{ such that } N \supseteq f(P - \{a\}). \end{array}$$

For example, using the standard topology on  $\mathbb{R}$ , the function  $f: \mathbb{R} \to \mathbb{R}$  given by

$$f(x) = \begin{cases} 2, & \text{if } x \neq 0, \\ 4, & \text{if } x = 0, \end{cases} \quad \text{has} \quad \lim_{\substack{x \to 0 \\ x \neq 0}} f(x) = 2 \quad \text{and} \quad \lim_{x \to 0} f(x) \text{ does not exist,} \end{cases}$$

and, using the subspace topology on  $\{0,1\}$  (a subspace of  $\mathbb{R}$ ), the function  $g: \{0,1\} \to \mathbb{R}$  given by

$$g(x) = 2$$
, has  $\lim_{x \to 0} f(x) = 2$  and  $\lim_{\substack{x \to 0 \ x \neq 0}} f(x)$  is not defined.



 $f: \mathbb{R} \to \mathbb{R}$  is not continuous at a

a

Let  $(X, \mathcal{T}_X)$  and  $(Y, \mathcal{T}_Y)$  be topological spaces.

A sequence in X is a function  $\vec{x}: \mathbb{Z}_{>0} \to X$  $n \mapsto x_n$ 

Let  $(X, \mathcal{T})$  be a topological space. Let  $(x_1, x_2, \ldots)$  be a sequence in X and let  $z \in X$ . Write

 $z = \lim_{n \to \infty} x_n \quad \text{if } (x_1, x_2, \ldots) \text{ satisfies:} \qquad \begin{array}{l} \text{if } N \in \mathcal{N}(z) \quad \text{then } N \text{ contains all but} \\ \text{a finite number of elements of } \{x_1, x_2, \ldots\}. \end{array}$ 

More precisely,

$$z = \lim_{n \to \infty} x_n \quad \text{if } (x_1, x_2, \ldots) \text{ satisfies:} \qquad \begin{array}{l} \text{if } N \in \mathcal{N}(z) \quad \text{then there exists } \ell \in \mathbb{Z}_{>0} \\ \text{such that } N \supseteq \{x_\ell, x_{\ell+1}, \ldots\}. \end{array}$$



The spiral sequence  $a_n = \left(\frac{1}{2}e^{i\pi/4}\right)^n$  in  $\mathbb C$  has limit point 0



The sequence  $a_n = (-1)^{n-1}(1+\frac{1}{n})$  in  $\mathbb{R}$  has cluster points at 1 and at -1

# 11.3.1 Limits and continuity

**Proposition 11.4.** Let  $(X, \mathcal{T}_X)$  and  $(Y, \mathcal{T}_Y)$  be topological spaces. Let  $f: X \to Y$  be a function. (a) Let  $a \in X$ . Then

f is continuous at a if and only if 
$$\lim_{x \to a} f(x) = f(a)$$
.

(b) Let  $a \in X$  such that  $a \in \overline{X - \{a\}}$ . Then

f is continuous at a if and only if 
$$\lim_{\substack{x \to a \\ x \neq a}} f(x) = f(a).$$

*Proof.* (Sketch) The notation  $\lim_{x\to a} f(x) = f(a)$  means that if  $N \in \mathcal{N}(f(a))$  then  $f^{-1}(N) \supseteq P$ , where  $P \in \mathcal{N}(a)$ . But then  $f^{-1}(N) \in \mathcal{N}(a)$ .

#### 11.3.2 Limits in metric spaces

Let 
$$\mathbb{E} = \{10^{-1}, 10^{-2}, \ldots\}.$$

**Proposition 11.5.** Let  $(X, d_X)$  and  $(Y, d_Y)$  be strict metric spaces. Let  $f: X \to Y$  be a function and let  $y \in Y$ .

(a) Let  $a \in X$ . Then

 $\lim_{x \to a} f(x) = y \quad if and only if \quad f \ satisfies$  $if \ \epsilon \in \mathbb{E} \ then \ there \ exists \ \delta \in \mathbb{E} \ such \ that$  $if \ x \in X \ and \ d_X(x, a) < \delta \quad then \quad d_Y(f(x), y) < \epsilon.$ 

(b) Let  $a \in X$  be such that  $a \in \overline{X - \{a\}}$ . Then

 $\lim_{\substack{x \to a \\ x \neq a}} f(x) = y \quad if and only if \quad f \ satisfies$  $if \ \epsilon \in \mathbb{E} \ then \ there \ exists \ \delta \in \mathbb{E} \ such \ that$  $if \ x \in X \ and \ 0 < d_X(x, a) < \delta \quad then \quad d_Y(f(x), y) < \epsilon.$ 

(c) Let  $(x_1, x_2, \ldots)$  be a sequence in X and let  $z \in X$ . Then

 $\lim_{n \to \infty} x_n = z \quad if and only if \quad (x_1, x_2, \ldots) \text{ satisfies}$  $if \ \epsilon \in \mathbb{E} \ then \ there \ exists \ \ell \in \mathbb{Z}_{>0} \ such \ that$  $if \ n \in \mathbb{Z}_{\geq \ell} \quad then \quad d(x_n, z) < \epsilon.$ 

*Proof.* (Sketch) The proof is accomplished by a careful conversion of the definitions of the limits using the definition of the metric space topology and the definition of the open ball  $B_{\epsilon}(y)$  of radius  $\epsilon$  centered at y.

## 11.3.3 Limits of sequences capture closure and continuity in metric spaces

**Theorem 11.6.** (Closure in metric spaces) Let (X, d) be a strict metric space and let  $\mathcal{T}_X$  be the metric space topology on X. Let  $A \subseteq X$ . Then

 $\overline{A} = \{ z \in X \mid \text{there exists a sequence } (a_1, a_2, \ldots) \text{ in } A \text{ such that } z = \lim_{n \to \infty} a_n \},$ 

where  $\overline{A}$  is the closure of A in X.

*Proof.* (Sketch) If z is a close point to A then a sequence  $(a_1, a_2, \ldots)$  such that

 $a_1 \in B_{0.1}(z) \cap A, \quad a_2 \in B_{0.01}(z) \cap A, \quad a_3 \in B_{0.001}(z) \cap A, \quad \dots,$ 

will have  $z = \lim_{n \to \infty} a_n$ .

**Theorem 11.7.** (Continuity for metric spaces) Let  $(X, d_X)$  and  $(Y, d_Y)$  be strict metric spaces. Let  $\mathcal{T}_X$  be the metric space topology on X and let  $\mathcal{T}_Y$  be the metric space topology on Y. Let  $f: X \to Y$  be a function. Then f is continuous if and only if f satisfies

if  $(x_1, x_2, ...)$  is a sequence in X and  $\lim_{n \to \infty} x_n$  exists then  $f\left(\lim_{n \to \infty} x_n\right) = \lim_{n \to \infty} f(x_n)$ .

*Proof.* (Sketch) The  $\Rightarrow$  implication is similar to the proof of Theorem 11.4 For the  $\Leftarrow$  implication prove the contrapositive: If f is not continuous at a then there exists  $N \in \mathcal{N}(f(a))$  such that  $f^{-1}(N) \notin \mathcal{N}(a)$ and letting

$$x_1 \in B_{0,1}(a) \cap f^{-1}(N)^c, \quad x_2 \in B_{0,01}(a) \cap f^{-1}(N)^c, \quad \dots$$

produces a sequence such that  $\lim_{n \to \infty} x_n = a$  and  $\lim_{n \to \infty} f(x_n) \neq f(a)$ .

# 11.4 Limits of sequences capture closure and continuity in topological spaces with countably generated neighborhood filters

A topological space  $(X, \mathcal{T})$  has countably generated neighborhood filters, or is first countable, if  $(X, \mathcal{T})$  satisfies:

if  $x \in X$  then there exist subsets  $B_1, B_2, \ldots$  of X such that  $\mathcal{N}(x) = \{N \subseteq X \mid \text{there exists } k \in \mathbb{Z}_{>0} \text{ such that } N \supseteq B_k\}.$ 

**Theorem 11.8.** (Closure in topological spaces with countably generated neighborhood filters) Let  $(X, \mathcal{T})$  be a topological space with countably generated neighborhood filters. Let  $A \subseteq X$ . Then

 $\overline{A} = \{ z \in X \mid \text{there exists a sequence } (a_1, a_2, \ldots) \text{ in } A \text{ such that } z = \lim_{n \to \infty} a_n \},$ 

*Proof.* (Sketch) If z is a close point to A and  $B_1, B_2, \ldots$  are generators of  $\mathcal{N}(z)$  then a sequence  $(a_1, a_2, \ldots)$  such that

$$a_1 \in B_1(z) \cap A, \quad a_2 \in B_2(z) \cap A, \quad a_3 \in B_3(z) \cap A, \quad \dots,$$

will have  $z = \lim_{n \to \infty} a_n$ .

**Theorem 11.9.** (Continuity for topological spaces with countably generated neighborhood filters) Let  $(X, \mathcal{T}_X)$  and  $(Y, \mathcal{T}_Y)$  be topological spaces and assume that  $(X, \mathcal{T}_X)$  has countably generated neighborhood filters. Let  $f: X \to Y$  be a function. Then f is continuous if and only if f satisfies

if 
$$(x_1, x_2, ...)$$
 is a sequence in X and  $\lim_{n \to \infty} x_n$  exists then  $f\left(\lim_{n \to \infty} x_n\right) = \lim_{n \to \infty} f(x_n)$ .

*Proof.* (Sketch) The proof is similar to the proof of Theorem 11.7 except with generators  $B_1, B_2, \ldots$  of  $\mathcal{N}(a)$  replacing the open balls  $B_{0.1}(a), B_{0.01}(a), \ldots$ 

#### 11.5 Some proofs

#### 11.5.1 Alternative characterization of the metric space topology

**Proposition 11.10.** Let (X, d) be a strict metric space. Let

$$\mathbb{E} = \{10^{-1}, 10^{-2}, \ldots\} \text{ and let } \mathcal{B} = \{B_{\epsilon}(x) \mid \epsilon \in \mathbb{E} \text{ and } x \in X\},\$$

the set of open balls in X. Let  $\mathcal{T}$  be the metric space topology on X. Let  $U \subseteq X$ . Then  $U \in \mathcal{T}$  if and only if

there exists 
$$\mathcal{S} \subseteq \mathcal{B}$$
 such that  $U = \bigcup_{B \in \mathcal{S}} B$ 

Proof.

 $\Leftarrow$ : Assume  $U = \bigcup_{B \in S} B$ . To show:  $U \in \mathcal{T}$ . To show: If  $x \in U$  then there exists  $\epsilon \in \mathbb{E}$  such that  $B_{\epsilon}(x) \subseteq U$ . Assume  $x \in U$ . Since  $U = \bigcup_{B \in S} B$  then there exists  $B \in S$  such that  $x \in B$ . By definition of  $\mathcal{B}$  there exists  $\delta \in \mathbb{E}$  and  $y \in X$  such that  $B = B_{\delta}(y)$ . Since  $x \in B = B_{\delta}(y)$  then  $d(x, y) < \delta$ . Let  $\epsilon = 10^{-k}$ , where  $k \in \mathbb{Z}_{>0}$  is such that  $0 < 10^{-k} < \delta - d(x, y)$ . To show:  $B_{\epsilon}(x) \subseteq B_{\delta}(y)$ . To show: If  $p \in B_{\epsilon}(x)$  then  $p \in B_{\delta}(y)$ . Assume  $p \in B_{\epsilon}(x)$ . Since  $d(p, y) \le d(p, x) + d(x, y) < \epsilon + d(x, y) < \delta$  then  $p \in B_{\delta}(y)$ . So  $B_{\epsilon}(x) \subseteq B_{\delta}(y) \subseteq U$ . Since  $B_{\delta}(y) = B$  and  $B \in S$  then  $B_{\epsilon}(x) \subseteq U$ . So  $U \in \mathcal{T}$ .  $\Rightarrow$ : Assume  $U \in \mathcal{T}$ . If  $x \in U$  then there exists  $\epsilon_x \in \mathbb{E}$  such that  $B_{\epsilon_x}(x) \subseteq U$ . To show: There exists  $S \subseteq B$  such that  $U = \bigcup_{B \in S} B$ . Let  $\mathcal{S} = \{ B_{\epsilon_x}(x) \mid x \in U \}.$ To show:  $U = \bigcup_{B \in S} B$ . To show: (a)  $U \supseteq \bigcup_{B \in \mathcal{S}} B$ . (b)  $U \subseteq \bigcup_{B \in \mathcal{S}} B$ .

- (a) If  $B \in S$  then  $B = B_{\epsilon_x}(x) \subseteq U$ . So  $U \supseteq \bigcup_{B \in S} B$ .
- (b) To show: If  $x \in U$  then  $x \in \left(\bigcup_{B \in \mathcal{S}} B\right)$ . Assume  $x \in U$ . Since  $x \in B_{\epsilon_x}(x)$  and  $B_{\epsilon_x}(x) \in \mathcal{S}$  then  $x \in \bigcup_{B \in \mathcal{S}} B$ . So  $U \subseteq \left(\bigcup_{B \in \mathcal{S}} B\right)$ .

So  $U = \bigcup_{B \in \mathcal{S}} B$ .

#### 11.5.2 Interiors and closures

**Proposition 11.11.** Let X be a topological space. Let  $A \subseteq X$ .

(a) The interior of A is the set of interior points of A.

(b) The closure of A is the set of close points of A.

## Proof.

- (a) Let  $I = \{x \in A \mid x \text{ is an interior point of } A\}$ . To show:  $A^{\circ} = I$ . To show: (aa)  $I \subseteq A^{\circ}$ . (ab)  $A^{\circ} \subseteq I$ .
  - (aa) Let  $x \in I$ . Then there exists a neighborhood N of x with  $N \subseteq A$ .

So there exists an open set U with  $x \in U \subseteq N \subseteq A$ . Since  $U \subseteq A$  and U is open  $U \subseteq A^{\circ}$ . So  $x \in A^{\circ}$ . So  $I \subseteq A^{\circ}$ .

(ab) Assume  $x \in A^{\circ}$ . Then  $A^{\circ}$  is open and  $x \in A^{\circ} \subseteq A$ . So x is a interior point of A. So  $x \in I$ . So  $A^{\circ} \subseteq I$ .

# So $I = A^{\circ}$ .

(b) Let  $C = \{x \in X \mid \text{if } N \in \mathcal{N}(x) \text{ then } N \cap A \neq \emptyset\}$  be the set of close points of A. Then

$$C^{c} = \{x \in X \mid \text{there exists } N \in \mathcal{N}(x) \text{ such that } N \cap A = \emptyset\}$$
$$= \{x \in X \mid \text{there exists } N \in \mathcal{N}(x) \text{ such that } N \subseteq A^{c}\}.$$

which is the set of interior points of  $A^c$ . Thus, by part (a),  $C^c = (A^c)^\circ$ . So  $C = ((A^c)^\circ)^c$ . To show:  $C = \overline{A}$ . To show:  $((A^c)^\circ)^c = \overline{A}$ .

Claim: If  $F \subseteq X$  then  $(F^{\circ})^c = \overline{F^c}$ . Let  $F \subseteq X$ . Then  $F^{\circ}$  is open and  $(F^{\circ})^c$  is closed. Since  $F^{\circ} \subseteq \underline{F}$ , then  $(F^{\circ})^c \supseteq F^c$ . So  $(F^{\circ})^c \supseteq \overline{F^c}$ . If V is closed and  $V \supseteq F^c$  then  $V^c$  is open and  $V^c \subseteq F$ . Thus, if V is closed and  $V \supseteq F^c$  then  $V^c \subseteq F^{\circ}$ . Thus, if V is closed and  $V \supseteq F^c$  then  $V \supseteq (F^{\circ})^c$ . So  $(F^{\circ})^c = \overline{F^c}$ . Thus  $((A^c)^{\circ})^c = \overline{(A^c)^c}$ . Thus  $C = ((A^c)^{\circ})^c = \overline{(A^c)^c} = \overline{A}$ .

# 11.5.3 Limits and continuity

**Theorem 11.12.** Let  $(X, \mathcal{T}_X)$  and  $(Y, \mathcal{T}_Y)$  be topological spaces. Let  $f: X \to Y$  be a function.

(a) Bou, Ch. 1 §2 Theorem 1(d) f is continuous if and only if f satisfies:

if  $a \in X$  then f is continuous at a.

(b) Bou, Ch. 1 §7 Prop. 9] Let  $a \in X$ . Then

f is continuous at a if and only if  $\lim_{x \to a} f(x) = f(a)$ .

(c) [Bou, Ch. 1 §7 no. 5] Let  $a \in X$  such that  $a \in \overline{X - \{a\}}$ . Then

f is continuous at a if and only if  $\lim_{\substack{x \to a \\ x \neq a}} f(x) = f(a).$ 

(d) Bou, Ch. IX §2 no. 7 Proposition 10 and the remark following] Let (X, d) be a strict metric space and let  $\mathcal{T}_X$  be the metric space topology on X. Then f is continuous if and only if f satisfies:

if  $(x_1, x_2, \ldots)$  is a sequence in X and

if 
$$\lim_{n \to \infty} x_n$$
 exists then  $\lim_{n \to \infty} f(x_n) = f\left(\lim_{n \to \infty} x_n\right)$ .

Proof.

(a)  $\Rightarrow$ : To show: If f is continuous then f satisfies: if  $a \in X$  then f is continuous at a. Assume f is continuous. To show: If  $a \in X$  then f is continuous at a. Assume  $a \in X$ . To show: If  $N \in \mathcal{N}(f(a))$  then  $f^{-1}(N) \in \mathcal{N}(a)$ . Assume  $N \in \mathcal{N}(f(a))$ . Then there exists  $V \in \mathcal{T}_Y$  such that  $f(a) \in V \subseteq N$ . To show:  $f^{-1}(N) \in \mathcal{N}(a)$ . To show: There exists  $U \in \mathcal{T}_X$  such that  $a \in U \subseteq f^{-1}(N)$ . Let  $U = f^{-1}(V)$ . Since f is continuous then U is open in X. Since  $f(a) \in V \subseteq N$  then  $a \in f^{-1}(V) = U \subseteq f^{-1}(N)$ . So  $f^{-1}(N) \in \mathcal{N}(a)$ . So f is continuous at a. (a)  $\Leftarrow$ : Assume that if  $a \in X$  then f is continuous at a. To show: f is continuous. To show: If  $V \in \mathcal{T}_Y$  then  $f^{-1}(V) \in \mathcal{T}_X$ . Assume  $V \in \mathcal{T}_Y$ . To show:  $f^{-1}(V)$  is open in X. To show: If  $a \in f^{-1}(V)$  then a is an interior point of  $f^{-1}(V)$ . Assume  $a \in f^{-1}(V)$ . To show: There exists  $U \in \mathcal{N}(a)$  such that  $a \in U \subseteq f^{-1}(V)$ . Since  $V \in \mathcal{T}_Y$  and  $f(a) \in V$  then  $V \in \mathcal{N}(f(a))$ . Since f is continuous at a then  $f^{-1}(V) \in \mathcal{N}(a)$ . Let  $U = f^{-1}(V)$ . Then  $a \in U \subseteq f^{-1}(V)$ . So a is an interior point of  $f^{-1}(V)$ . So  $f^{-1}(V)$  is open in X. So f is continuous. (b)  $\Rightarrow$ : To show: If f is continuous at a then  $\lim_{x\to a} f(x) = f(a)$ . Assume f is continuous at a. To show:  $\lim_{x\to a} f(x) = f(a)$ . To show: If  $N \in \mathcal{N}(f(a))$  then there exists  $P \in \mathcal{N}(a)$  such that  $N \supseteq f(P)$ . Assume  $N \in \mathcal{N}(f(a))$ . To show: There exists  $P \in \mathcal{N}(a)$  such that  $N \supseteq f(P)$ . Since f is continuous at a and  $N \in \mathcal{N}(f(a))$  then  $f^{-1}(N) \in \mathcal{N}(a)$ . Let  $P = f^{-1}(N)$ . Then  $f(P) = f(f^{-1}(N)) \subseteq N$ . So  $\lim_{x\to a} f(x) = f(a)$ .

(b) 
$$\Leftarrow$$
: To show: If  $\lim_{x\to a} f(x) = f(a)$  then f is continuous at a.

Assume  $\lim_{x\to a} f(x) = f(a)$ . To show: f is continuous at a. To show: If  $N \in \mathcal{N}(f(a))$  then  $f^{-1}(N) \in \mathcal{N}(a)$ . Assume  $N \in \mathcal{N}(f(a))$ . To show:  $f^{-1}(N) \in \mathcal{N}(a)$ . To show: There exists  $U \in \mathcal{T}_X$  such that  $a \in U \subseteq f^{-1}(N)$ . Since  $\lim_{x\to a} f(x) = f(a)$  then there exists  $P \in \mathcal{N}(a)$  such that  $N \supseteq f(P)$ . So  $f^{-1}(N) \supseteq P$ . Since  $P \in \mathcal{N}(a)$ , there exists  $U \in \mathcal{T}_X$  such that  $a \in U \subseteq P$ . So there exists  $U \in \mathcal{T}_X$  such that  $a \in U \subseteq P \subseteq f^{-1}(N)$ . So  $f^{-1}(N) \in \mathcal{N}(a)$ . So f is continuous at a. (c)  $\Rightarrow$ : Assume  $a \in X - \{a\}$ . To show: If f is continuous at a then  $\lim_{x \to a} f(x) = f(a)$ .  $x \rightarrow a$  $x \neq a$ Assume f is continuous at a. To show:  $\lim_{x \to a} f(x) = f(a)$ .  $x \neq a$ To show: If  $N \in \mathcal{N}(f(a))$  then there exists  $P \in \mathcal{N}(a)$  such that  $N \supseteq f(P - \{a\})$ . Assume  $N \in \mathcal{N}(f(a))$ . To show: There exists  $P \in \mathcal{N}(a)$  such that  $N \supseteq f(P - \{a\})$ . Since f is continuous at a and  $N \in \mathcal{N}(f(a))$  then  $f^{-1}(N) \in \mathcal{N}(a)$ . Let  $P = f^{-1}(N)$ . Then  $f(P - \{a\}) \subseteq f(P) = f(f^{-1}(N)) \subseteq N$ . So  $\lim_{x \to a} f(x) = f(a)$ .  $x \neq a$ (c)  $\Leftarrow$ : Assume  $a \in X - \{a\}$ . To show: If  $\lim_{x \to a} f(x) = f(a)$  then f is continuous at a.  $x \neq a$ Assume  $\lim_{x \to a} f(x) = f(a)$ .  $x \neq a$ To show: f is continuous at a. To show: If  $N \in \mathcal{N}(f(a))$  then  $f^{-1}(N) \in \mathcal{N}(a)$ . Assume  $N \in \mathcal{N}(f(a))$ . To show:  $f^{-1}(N) \in \mathcal{N}(a)$ . To show: There exists  $U \in \mathcal{T}_X$  such that  $a \in U \subseteq f^{-1}(N)$ . Since  $\lim_{x \to a} f(x) = f(a)$  there exists  $P \in \mathcal{N}(a)$  such that  $N \supseteq f(P - \{a\})$ .  $x \rightarrow a$  $x \neq a$ So  $f^{-1}(N) \supseteq P - \{a\}$ . Since  $N \in \mathcal{N}(f(a))$  then  $f(a) \in N$  and  $a \in f^{-1}(N)$ . So  $f^{-1}(N) \supseteq P$ . Since  $P \in \mathcal{N}(a)$ , there exists  $U \in \mathcal{T}_X$  such that  $a \in U \subseteq P$ . So there exists  $U \in \mathcal{T}_X$  such that  $a \in U \subseteq P \subseteq f^{-1}(N)$ . So  $f^{-1}(N) \in \mathcal{N}(a)$ . So f is continuous at a. (d)  $\Rightarrow$ : Assume f is continuous. To show: f satisfies

if 
$$(x_1, x_2, ...)$$
 is a sequence in X and  $\lim_{n \to \infty} x_n$  exists  
then  $f\left(\lim_{n \to \infty} x_n\right) = \lim_{n \to \infty} f(x_n).$  (\*)

Assume  $(x_1, x_2, \ldots)$  is a sequence in X and  $\lim_{n\to\infty} x_n = a$ . To show:  $f(a) = \lim f(x_n)$ . To show: If  $N \in \mathcal{N}(f(a))$  then there exists  $t \in \mathbb{Z}_{>0}$  such that  $N \supseteq (f(x_t), f(x_{t+1}), \ldots)$ . Assume  $N \in \mathcal{N}(f(a))$ . Since f is continuous then  $f^{-1}(N) \in \mathcal{N}(a)$ . Since  $\lim_{n\to\infty} x_n = a$  then there exists  $\ell \in \mathbb{Z}_{>0}$  such that  $f^{-1}(N) \supseteq \{x_\ell, x_{\ell+1}, \ldots\}$ . Let  $t = \ell$ . Then  $f^{-1}(N) \supseteq \{x_t, x_{t+1}, \ldots\}.$ So  $N \supseteq \{f(x_t), f(x_{t+1}), \ldots\}$ . So f satisfies (\*). (d)  $\Leftarrow$ : To show: If f is not continuous then f does not satisfy (\*). Assume f is not continuous. Then there exists a such that f is not continuous at a. So there exists  $N \in \mathcal{N}(f(a))$  such that  $f^{-1}(N) \notin \mathcal{N}(a)$ . To show: There exists a sequence  $(x_1, x_2, \ldots)$  such that  $\lim_{n \to \infty} x_n$  exists and  $\lim_{n \to \infty} f(x_n) \neq 0$  $f(\lim_{n\to\infty} x_n).$ Since  $f^{-1}(N) \not\in \mathcal{N}(a)$  then  $f^{-1}(N) \not\supseteq B_{10^{-\ell}}(a)$ , for  $\ell \in \mathbb{Z}_{>0}$ . Let  $x_1 \in B_{10^{-1}}(a) \cap f^{-1}(N)^c, \quad x_2 \in B_{10^{-2}}(a) \cap f^{-1}(N)^c, \quad \dots$ 

To show: (da)  $\lim_{n\to\infty} x_n = a$ . (db)  $\lim_{n\to\infty} f(x_n) \neq f(a)$ .

(da) To show: If  $P \in \mathcal{N}(a)$  then there exists  $\ell \in \mathbb{Z}_{>0}$  such that if  $n \in \mathbb{Z}_{\geq \ell}$  then  $x_n \in P$ . Assume  $P \in \mathcal{N}(a)$ . To show: There exists  $\ell \in \mathbb{Z}_{>0}$  such that  $P \supseteq \{x_{\ell}, x_{\ell+1}, \ldots\}$ . Since  $P \in \mathcal{N}(a)$  then there exists  $\ell \in \mathbb{Z}_{>0}$  such that  $P \supseteq B_{10^{-\ell}}(a)$ . To show:  $P \supseteq \{x_{\ell}, x_{\ell+1}, \ldots\}$ . To show: If  $n \in \mathbb{Z}_{\geq \ell}$  then  $x_n \in P$ . Assume  $n \in \mathbb{Z}_{\geq \ell}$ . Since  $n \ge \ell$  then  $10^{-\ell} \le 10^{-n}$  and  $x_n \in B_{10^{-n}}(a) \subseteq B_{10^{-\ell}}(a) \subseteq P$ . So  $P \supseteq \{x_{\ell}, x_{\ell+1}, \ldots\}$ . So  $\lim_{n\to\infty} x_n = a$ . (db) To show:  $\lim_{n\to\infty} f(x_n) \ne f(a)$ . To show: There exists  $M \in \mathcal{N}(f(a))$  such that  $\{j \in \mathbb{Z}_{>0} \mid f(x_j) \in M^c\}$  is infinite. Let M = N. To show:  $\{j \in \mathbb{Z}_{>0} \mid f(x_j) \in N^c\}$  is infinite. Since  $x_j \in f^{-1}(N)^c$  then  $f(x_j) \notin N$ , for  $j \in \mathbb{Z}_{>0}$ . So  $\{f(x_1), f(x_2), \ldots\} \subseteq N^c$ . So  $\{j \in \mathbb{Z}_{>0} \mid f(x_j) \in N^c\}$  is infinite. So  $\lim_{n\to\infty} f(x_n) \ne f(a)$ .

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So f does not satisfy (*).
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To change the proof of (d) above to a proof for first countable topological spaces  $(X, \mathcal{T}_X)$ , replace the use of the open balls  $B_{10^{-1}}(a) \supseteq B_{10^{-2}}(a) \supseteq \cdots$  by generators  $B_1 \supseteq B_2 \supseteq \cdots$  of  $\mathcal{N}(a)$ , the neighborhood filter of a.

## 11.5.4 The topology in a metric space is determined by limits of sequences

**Theorem 11.13.** Let (X, d) be a strict metric space and let  $A \subseteq X$  and let  $\overline{A}$  be the closure of A. Then

 $\overline{A} = \{ z \in X \mid \text{ there exists a sequence } (a_1, a_2, \ldots) \text{ in } A \text{ with } z = \lim_{n \to \infty} a_n \}.$ 

*Proof.* Let  $R = \{z \in X \mid \text{there exists a sequence } (a_1, a_2, \ldots) \text{ in } A \text{ with } z = \lim_{n \to \infty} a_n \}.$ 

To show: (a)  $R \subseteq \overline{A}$ . (b)  $\overline{A} \subseteq R$ . (a) To show: If  $z \in R$  then  $z \in \overline{A}$ . Assume  $z \in R$ . To show:  $z \in \overline{A}$ . We know there exists a sequence  $(a_1, a_2, ...)$  in A with  $z = \lim_{n \to \infty} a_n$ . To show: z is a close point of A. To show: If N is a neighborhood of z then  $N \cap A \neq \emptyset$ . Assume N is a neighborhood of z. Since  $\lim_{n\to\infty} a_n = z$  then there exists  $\ell \in \mathbb{Z}_{>0}$  such that if  $n \in \mathbb{Z}_{\geq \ell}$  then  $a_n \in N$ . So  $N \cap A \neq \emptyset$ . So z is a close point of A. So  $R \subseteq \overline{A}$ . (b) To show:  $\overline{A} \subseteq R$ . To show: If  $z \in \overline{A}$  then  $z \in R$ . Let  $z \in \overline{A}$ . To show:  $z \in R$ .

To show: There exists a sequence  $(a_1, a_2, ...)$  in A with  $z = \lim_{n \to \infty} a_n$ . Using that z is a close point of A,

let 
$$a_1 \in B_{0,1}(z) \cap A$$
,  $a_2 \in B_{0,01}(z) \cap A$ ,  $a_3 \in B_{0,001}(z) \cap A$ , ....

To show:  $z = \lim_{n \to \infty} a_n$ . To show: If P is a neighborhood of z then there exists  $\ell \in \mathbb{Z}_{>0}$  such that if  $n \in \mathbb{Z}_{\geq \ell}$  then  $a_n \in P$ . Let P be a neighborhood of z. Then there exists  $\ell \in \mathbb{Z}_{>0}$  such that  $B_{10^{-\ell}}(z) \subseteq P$ . To show: If  $n \in \mathbb{Z}_{\geq \ell}$  then  $a_n \in P$ . Assume  $n \in \mathbb{Z}_{\geq \ell}$ . Since  $n \geq \ell$  then  $10^{-n} \leq 10^{-\ell}$  and

$$a_n \in B_{10^{-n}}(z) \subseteq B_{10^{-\ell}}(z) \subseteq P,$$

So  $\lim_{n \to \infty} a_n = z$ . So  $z \in R$ . So  $\overline{A} \subseteq R$ .

To change the proof of (b) above to a proof for first countable topological spaces  $(X, \mathcal{T}_X)$ , replace the use of the open balls  $B_{10^{-1}}(a) \supseteq B_{10^{-2}}(a) \supseteq \cdots$  by generators  $B_1 \supseteq B_2 \supseteq \cdots$  of  $\mathcal{N}(a)$ , the neighborhood filter of a.

## 11.5.5 Limits in metric spaces

**Proposition 11.14.** Let  $(X, d_X)$  and  $(Y, d_Y)$  be strict metric spaces, let  $\mathcal{T}_X$  be the metric space topology on X and let  $\mathcal{T}_Y$  be the metric space topology on Y. Let  $f: X \to Y$  be a function and let  $y \in Y$ .

(a) Let  $a \in X$ . Then  $\lim_{x \to a} f(x) = y$  if and only if f satisfies

if  $\epsilon \in \mathbb{E}$  then there exists  $\delta \in \mathbb{E}$  such that if  $x \in X$  and  $d_X(x, a) < \delta$  then  $d_Y(f(x), y) < \epsilon$ .

(b) Let  $a \in X$  such that  $a \in \overline{X - \{a\}}$ . Then  $\lim_{\substack{x \to a \\ x \neq a}} f(x) = y$  if and only if f satisfies

if  $\epsilon \in \mathbb{E}$  then there exists  $\delta \in \mathbb{E}$  such that if  $x \in X$  and  $0 < d_X(x, a) < \delta$  then  $d_Y(f(x), y) < \epsilon$ .

(c) Let  $(x_1, x_2, \ldots)$  be a sequence in X and let  $z \in X$ . Then  $\lim_{n \to \infty} x_n = z$  if and only if  $(x_1, x_2, \ldots)$  satisfies

if  $\varepsilon \in \mathbb{E}$  then there exists  $\ell \in \mathbb{Z}_{>0}$  such that if  $n \in \mathbb{Z}_{\geq \ell}$  then  $d(x_n, z) < \varepsilon$ .

*Proof.* (a) By definition,  $\lim_{x \to a} f(x) = y$  if and only if f satisfies: if  $N \in \mathcal{N}(y)$  then there exists  $P \in \mathcal{N}(a)$  such that  $N \supseteq f(P)$ .

By definition of the metric space topology,  $N \in \mathcal{N}(y)$  if and only if there exists  $\epsilon \in \mathbb{E}$  such that  $B_{\epsilon}(y) \subseteq N$ .

Thus  $\lim_{x\to a} f(x) = y$  if and only if f satisfies: if  $B_{\epsilon}(y)$  is an open ball at y then there exists  $B_{\delta}(a)$ , an open ball at a such that  $B_{\epsilon}(y) \supseteq f(B_{\delta}(a))$ .

By definition,  $B_{\delta}(a) = \{x \in X \mid d(x, a) < \delta\}.$ 

Thus,  $\lim_{x \to a} f(x) = y$  if and only if f satisfies: if  $\varepsilon \in \mathbb{E}$  then there exists  $\delta \in \mathbb{E}$  such that if  $x \in X$  and  $d_X(x,a) < \delta$  then  $d_Y(f(x), y) < \varepsilon$ .

(b) By definition,  $\lim_{\substack{x \to a \\ x \neq a}} f(x) = y$  if and only if f satisfies: if  $N \in \mathcal{N}(y)$  then there exists  $P \in \mathcal{N}(a)$  such that  $N \supseteq f(P - \{a\})$ .

By definition of the metric space topology,  $N \in \mathcal{N}(y)$  if and only if there exists  $\epsilon \in \mathbb{E}$  such that  $B_{\epsilon}(y) \subseteq N$ .

Thus  $\lim_{\substack{x \to a \\ x \neq a}} f(x) = y$  if and only if f satisfies: if  $B_{\epsilon}(y)$  is an open ball at y then there exists  $B_{\delta}(a)$ , an

open ball at a such that  $B_{\epsilon}(y) \supseteq f(B_{\delta}(a) - \{a\})$ .

By definition,  $B_{\epsilon}(y) = \{x \in Y \mid d(x, y) < \epsilon\}$  and  $B_{\delta}(a) - \{a\} = \{x \in X \mid 0 < d(x, a) < \delta\}.$ 

Thus,  $\lim_{x \to a} f(x) = y$  if and only if f satisfies: if  $\epsilon \in \mathbb{E}$  then there exists  $\delta \in \mathbb{E}$  such that if  $x \in X$  and  $0 \leq d \leq x \leq 1$  for  $x \in X$  and  $0 \leq d \leq x \leq 1$ .

 $0 < d_X(x,a) < \delta$  then  $d_Y(f(x),y) < \epsilon$ .

(c) By definition,  $\lim_{n \to \infty} x_n = z$  if and only if  $(x_1, x_2, \ldots)$  satisfies: if  $P \in \mathcal{N}(z)$  then there exists  $\ell \in \mathbb{Z}_{>0}$  such that  $P \supseteq \{x_\ell, x_{\ell+1}, \ldots\}$ .

By definition of the metric space topology,  $P \in \mathcal{N}(y)$  if and only if there exists  $\epsilon \in \mathbb{E}$  such that  $B_{\epsilon}(y) \subseteq P$ .

So  $\lim_{n\to\infty} x_n = z$  if and only if  $(x_1, x_2, \ldots)$  satisfies: if  $\epsilon \in \mathbb{E}$  then there exists  $\ell \in \mathbb{Z}_{>0}$  such that  $B_{\epsilon}(z) \supseteq \{x_{\ell}, x_{\ell+1}, \ldots, \}.$ 

By definition,  $B_{\epsilon}(a) = \{x \in X \mid d(x, a) < \epsilon\}.$ Thus,  $\lim_{n \to \infty} x_n = z$  if and only if  $(x_1, x_2, \ldots)$  satisfies: if  $\epsilon \in \mathbb{E}$  then there exists  $\ell \in \mathbb{Z}_{>0}$  such that if  $n \in \mathbb{Z}_{\geq \ell}$  then  $d(x_n, z) < \epsilon$ .